Analysis of the termodynamic profile of the atmosphere

by Agostino Manzato

OSMER - ARPA Friuli Venezia Giulia

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Outline

- **1** Basic thermodynamic variables in presence of water.
- Atmosphere static and potential (in)stability.
- Sounding diagrams: skew-T and Thetaplot.
- Some sounding-derived indices and their properties.
- **•** Forecasting with sounding-derived indices: examples & problems



Europe at 500 hPa as seen by RDS alone (WND barbs, Z lines, Θ_e filled)





Section 1

Basic thermodynamic variables in presence of water



Source: http://www.its.caltech.edu/~atomic/snowcrystals/ice/ice.htm

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- For this reason *meteorologists* define "air" as a mix of 2 ideal gases:
 - 1) DRY AIR: $\mathbf{p_d} = \rho_d \mathbf{R_d} \mathbf{T}$, with $R_d = 286.99 \text{ J/(kg K)}$;
 - 2) VAPOR: $\mathbf{e} = \rho_{\mathbf{v}} \mathbf{R}_{\mathbf{v}} \mathbf{T}$, with $R_{\mathbf{v}} = R_d / 0.62198 = 461.4 \text{ J} / (\text{kg K})$.





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- Air pressure is $\mathbf{p} = \mathbf{p}_{\mathbf{d}} + \mathbf{e}$; air density is $\rho = \rho_{\mathbf{d}} + \rho_{\mathbf{v}} = \rho_{\mathbf{d}}(\mathbf{1} + \mathbf{q})$, where $\mathbf{q} = \rho_{\mathbf{v}}/\rho_{\mathbf{d}} = \mathbf{0.622} \frac{\mathbf{e}}{\mathbf{p}-\mathbf{e}}$ is the water vapor mixing ratio. One can define virtual temperature $T_{\mathbf{v}} \cong T(1 + 0.6q)$ so that $\mathbf{p} = \rho \mathbf{R}_{\mathbf{d}} \mathbf{T}_{\mathbf{v}}$.





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- The maximum quantity of water vapor (before condensation) depends only by the *temperature*, via the *saturation vapor pressure*, simplified by: $\mathbf{e}_{sat}(\mathbf{T}) = \mathbf{6.11} \cdot \mathrm{e}^{\frac{19.8 \cdot \mathrm{T}}{\mathrm{T} + 273}}$. *Relative humidity* is $\mathbf{RH} = \mathbf{100} \cdot \frac{\mathbf{e}}{\mathbf{e}_{sat}(\mathrm{T})}$.





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- In NE Italy q varies between a minimum of 1g/kg to a maximum of about
 22 g/kg. Note also that H₂O is *lighter* than dry air (molecular mass of 18 vs. 29): the more moist air is, the less dense it becomes.



Saturation diagram: the point of view of water



The *dew–point temperature*, T_d , is defined implicitly on the $e_{sat}(T)$ curve as the temperature when air will become saturated along a hypothetical process that conserve its initial partial pressure *e*, so that $e = e_{sat}(T_d)$.

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When air is lifted adiabatically, it follows a **dry** adiabat until saturation occurs at the *Lifted Condensation Level temperature*, T_{LCL} , then it follows a **wet** adiabat. In conclusion, air is a gas mixture defined by 4 variables: p, T, ρ plus a variable for moisture, like q or RH or T_d or dew-point depressure $(T \rightarrow T_d)$.

- 860-0 Station 1997

The point of view of the air parcel



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However, if from LCL it is *lifted* along a wet pseudo-adiabat until all moisture is condensed and removed (q = 0) and then it sinks down at the initial level through a dry adiabat, it will reach the *equivalent temperature*, T_e . "Equivalent" because it considers the warming due to the latent heat of condensation released by all the initial vapor.



Referring everything to a standard level



To make things more comparable, temperatures can be referred to the *standard* level (1000 hPa). Bringing the parcel at 1000 hPa along a dry adiabat defines the *potential temperature*, Θ . The dry adiabat used to

The dry adiabat used to define T_e intersects the 1000 hPa level at the equivalent potential temperature, Θ_e .

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Adding moisture till saturation at the initial level $[q = q_{sat} = 0.622 e_{sat}(T)/(p - e_{sat}(T))]$ and doing the same process done for Θ_e defines the saturated equivalent potential temperature, Θ_{es} .



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 - using a saturated pseudo-adiabatic lifting until q = 0, followed by a dry adiabatic sinking (equivalent transformation):
 - $\Theta_{ed} = \Theta_e(p, T_d, q), \Theta_e(p, T, q) \text{ and } \Theta_{es} = \Theta_e[p, T, q_{sat}(T)]$ (note that Θ_{es} depends only by p and T!), where (Bolton 1980):

$$\Theta_{e}(p, T, q) = T \cdot \left(\frac{1000}{p}\right)^{0.2854 (1-0.28q)} \cdot e^{q(1+0.81q) \left(\frac{3376}{T_{LCL}} - 2.54\right)}$$
(1)

$$T_{LCL}(T, e) = \frac{2840}{3.5 \cdot \ln(T) - \ln(e) - 4.805} + 55$$
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There are 3 correspondences:

$$T_{d} \leftrightarrow \Theta_{ed} \leftrightarrow \Theta_{wd},$$

$$T_{w} \leftrightarrow \Theta_{e} \leftrightarrow \Theta_{w},$$

$$T \leftrightarrow \Theta_{es} \leftrightarrow \Theta_{ws}.$$
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Dry, moist and pseudo-saturated adiabatic processes

• Dry adiabatic: air is considered dry (neglecting the vapor enthalpy)

No saturation
$$(q = q_0 = constant)$$
 and $c_p \cong c_{pd} = 7/2R_d$ (dry air is biatomic) (3)

Invariant :
$$\Theta(T, p) = (T) \cdot \left(\frac{1000}{p}\right)^{R_d/c_{pd}} = (T) \cdot \left(\frac{1000}{p}\right)^{2/7}, \ T \text{ in } [K]$$

$$(4)$$

LapseRate :
$$-\frac{\mathrm{d}T}{\mathrm{d}z} = \Gamma_{\mathbf{d}} = \frac{\mathbf{g}}{\mathbf{c_{pd}}} \cong 9.76 \,\mathrm{K/km}$$
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No saturation $(q = q_0 = constant)$ and $mc_p = m_d c_{pd} + m_v c_{pv} = m_d 7/2R_d + m_v 4R_v$ (vapor is triatomic) (6)

Invariant:
$$\Theta_{Paluch}(T, p, q_0) = (T) \cdot \left(\frac{1000}{p}\right)^{(R_d + R_v q_0)/(c_{pd} + c_{pv} q_0)} = (T) \cdot \left(\frac{1000}{p}\right)^{\frac{2}{7} \frac{1 + R_v/R_d q_0}{1 + \frac{8}{7} R_v/R_d q_0}}$$
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• Saturated (or wet) pseudo-adiabatic: air is always saturated and condensate *falls out* of the lifted parcel

saturation
$$q = q_{sat}(p, T)$$
, and $c_{p \ liq} \cong 0$ and $c_{p \ lce} \cong 0$ (8)

Invariant : $\Theta_e(T, p, q) =$ equation(1) (9)

LapseRate :
$$-\frac{d}{dz} T = \Gamma_s(\mathbf{p}, \mathbf{q}) \cong 5 \div 8 \text{ K/km} \text{ (low troposphere } \div 500 \text{ hPa)}$$
 (10)

The last is called "pseudo" because it is not reversible (rainfall).





Section 2

Atmosphere static and potential (in)stability



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- the environment is in *hydrostatic equilibrium*, i. e. $\frac{d\mathbf{p}}{d\mathbf{z}} = -g\rho$ and the parcel pressure is always equal to the environment pressure at the same height (*pressure perturbations* are neglected);





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- the environment is in *hydrostatic equilibrium*, i. e. $\frac{d\mathbf{p}}{d\mathbf{z}} = -g\rho$ and the parcel pressure is always equal to the environment pressure at the same height (*pressure perturbations* are neglected);
- in the simplest version (conserving ⊖_e), during the saturated pseudo-adiabat the condensed water falls out (so there is no condensate load and *no latent heath of freezing*).

P.S. Otherwise one could parametrize the liquid water-to-ice transition and consider the load of condensed water (which reduce buoyancy) and the latent heat of freezing (which increase buoyancy). In such a case Θ_e is not conserved and buoyancy is computed using the *virtual-cloud temperature* of the parcel, T_{yc} (see Manzato and Morgan 2003).





Parcel buoyancy

During its inviscid (no *friction*) lifting the parcel will experience the following vertical acceleration (called Archimedes buoyancy):

$$\mathbf{B}(\mathbf{z}) = \frac{\mathrm{d}w}{\mathrm{d}t} = -\frac{1}{\rho_{\rho}} \cdot \frac{\mathrm{d}\rho}{\mathrm{d}z} - g = -\frac{1}{\rho_{\rho}} \cdot (-g\rho_{e}) - g = g \frac{\rho_{e}(\mathbf{z}) - \rho_{p}(\mathbf{z})}{\rho_{p}(\mathbf{z})}$$
(11)

where w(z) is the parcel vertical velocity, ρ_p and ρ_e are the parcel and environment density respectively. The parcel will continue to rise if it is *less dense* than the surrounding environment.



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$$\mathbf{B}(\mathbf{z}) \cong \mathbf{g} \cdot \frac{\mathbf{T}_{\mathbf{p}}(\mathbf{z}) - \mathbf{T}_{\mathbf{e}}(\mathbf{z})}{\mathbf{T}_{\mathbf{e}}(\mathbf{z})}$$
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In this *approximation* the parcel will continue to rise if it is *warmer* than environmental air and B(z) does not depend on environmental RH above.



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In this approximation the parcel will continue to rise if it is warmer than environmental air and B(z) does not depend on environmental RH above. Instead, if one would consider also the vapor contribution, then he can replace the normal temperatures with the virtual temperatures (called the "virtual correction"), but then he should also conserve Θ_{Paluch} instead of the simpler potential temperature Θ during the "moist" adiabat... In both cases, during the saturated pseudo-adiabat Θ_e is conserved.



The vertical profile of the buoyancy and its integral



Different buoyancy evaluations for the same sounding

Taking a small part of environment as *"initial* parcel" and applying the Lifted Parcel Theory, it may happen that the parcel will become *buoyant* [i. e. B(z) > 0], from its *Level of Free Convection*, LFC, to its *Equilibrium Level*, EL.

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Since $B(z) = \frac{dw}{dt} = w \cdot \frac{dw}{dz}$, integrating B(z) along the vertical profile one obtains a squared vertical velocity, i.e. a kinetic energy. The Convective Available Potential Energy, CAPE, is obtained integrating the buoyancy from LFC to EL: $\mathsf{CAPE} = \int_{7}^{z_{\mathsf{EL}}} \mathsf{B}(\mathsf{z}) \cdot \mathrm{d}\mathsf{z} = 1/2\mathsf{w}^2 + \mathsf{E} +$





Instability Inhibition and trigger mechanisms

Starting from an initial level z_0 of an atmospheric profile, in case that the lifted air becomes more dense than the environment, one can think that an external agent will provide the energy (*forcing*) needed to –eventually–reach its LFC. This energy is the *Convective Inhibition*, CIN:

$$\mathsf{CIN} = \int_{\mathbf{z}_0}^{\mathbf{z}_{\mathsf{LFC}}} \mathbf{B}(\mathbf{z}) \cdot \mathrm{d}\mathbf{z} < 0 \tag{14}$$



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The integral of the negative buoyancy in the low levels could be quite large (e.g. $CIN \cong 100 \div 300 \text{ J/kg}$) in comparison with the integral of the positive buoyancy above LFC (e.g. $CAPE \cong 1000 \text{ J/kg}$). Hence, the occurrence of a trigger mechanism that lifts the low-level air to its LFC to start Convective Initiation is a *key factor* of thunderstorms forecasting.




Instability Inhibition and trigger mechanisms

Starting from an initial level z_0 of an atmospheric profile, in case that the lifted air becomes more dense than the environment, one can think that an external agent will provide the energy (*forcing*) needed to –eventually–reach its LFC. This energy is the *Convective Inhibition*, CIN:

$$\mathsf{CIN} = \int_{z_0}^{z_{\mathsf{LFC}}} \mathsf{B}(\mathsf{z}) \cdot \mathrm{d}\mathsf{z} < 0 \tag{14}$$

The integral of the negative buoyancy in the low levels could be quite large (e.g. $CIN \cong 100 \div 300 \text{ J/kg}$) in comparison with the integral of the positive buoyancy above LFC (e.g. CAPE \cong 1000 J/kg). Hence, the occurrence of a trigger mechanism that lifts the low-level air to its LFC to start Convective Initiation is a key factor of thunderstorms forecasting. Phenomena that can act as CI trigger include: cold fronts, orographic lifting, convergence flows and breezes, low-level jets, thermic boundaries (e.g. sunset boundary advection, sea/land PBL gradients, cold pools of previous convection, bores,...), drylines advection, lake/lagoons evaporation, any vertically-developed roll having sufficient w. by Agostino Manzato 14





If an atmospheric profile has at least a parcel in the low levels for which it is possible to find a LFC then the profile is said to be *potentially unstable*.



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The condition that there is at least one atmospheric level for which it is possible to find a LFC is *equivalent* to say that that level (chosen as initial parcel) has CAPE> 0. As we will see on the Thetaplot diagram, that is *equivalent* to say that the atmospheric profile has a low-level Θ_e , $\Theta_e|_{low} = \Theta_e(z_0)$, which is higher then a mid-level Θ_{es} , $\Theta_{es}|_{mid}$, i.e.

 $MaxBuoyancy = \Theta_{e}|_{low} - \Theta_{es}|_{mid} > 0.$





Classic static instability definition

A layer of an atmospheric profile is said to be *absolutely stable* if its lapse rate decreases less than that of the saturated pseudo-adiabat, i.e. $\Gamma = -\frac{\mathrm{d}\,T}{\mathrm{d}\,z} < \Gamma_s \cong 5\,\mathrm{K/km}.$



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by Agostino Manzato 16

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Static instability is a characteristic of part (a layer) of an atmospheric profile, with respect to small displacements of its bottom, in that sense it is very different from the potential instability of the entire profile, that could need a large displacement of one of its low levels.



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Static instability is a characteristic of part (a layer) of an atmospheric profile, with respect to small displacements of its bottom, in that sense it is very different from the potential instability of the entire profile, that could need a large displacement of one of its low levels. When a layer is absolutely stable, $\Gamma < \Gamma_s$, it means that $\frac{d\Theta_e}{dz} > 0$. It is even more true that $\frac{d\Theta}{dz} > 0$, hence it is possible to define the *Brunt-Väisälä* frequency $\mathbf{N} = \sqrt{\frac{\mathbf{g}}{\Theta} \frac{d\Theta}{dz}}$, that is very useful to study PBL, gravity waves...





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Section 3

Sounding diagrams: skew-T and Thetaplot







Global homologation of thermodynamic diagrams...

The atmospheric profiles are usually not shown on a normal p vs. T (or z vs. T) diagram as seen until now, but are shown on specific thermodynamic diagrams. In the past many different diagrams were proposed: Neuhoff (1900), Tephigram (Shaw, 1922), Stüve (1927), Aerogram (Refsdal, 1935), Pastagram (Bellamy, 1945), skew-T (Herlofson, 1947)...Today, in 99.999% of cases it is used the skew-T diagram, but I will show you also the Theta-Plot diagram (Morgan, 1992), which I personally believe to be the most useful.





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In the approximation of *dry* air and *hydrostatic equilibrium* it is easy to derive the *hypsometric* or *thickness* eq. of a layer with $\overline{T(z)}$ mean temp.:

$$\ln \frac{\mathbf{p}_2}{\mathbf{p}_1} = -\frac{\mathbf{g}}{\mathbf{R}_d \overline{\mathbf{T}(\mathbf{z})}} \cdot (\mathbf{z}_2 - \mathbf{z}_1) \tag{16}$$



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From this equation it is possible to see that the height z is approximately proportional to the opposite of the natural logarithm of pressure p. Hence, on the ordinate it will be shown $-\ln(p/1000)$.





A Skew-T chart



On the skew-T diagram the abscissa is turned 45°, so that isotherms are no more vertical lines, but are skewed of 45 degree to the *right*.





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The dry adiabats (iso- Θ lines) here are the orange lines, slanted to the *left* from surface upward. In the low levels they are almost straight.

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- The saturated pseudo-adiabats (iso-Θ_e lines) are shown here as the green curves, going toward left from surface upward.

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- The iso-q lines are the dashed blue lines, going toward right from surface upward.





Skew-T graphical explanation



The atmosphere profile is drawn reporting at each pressure level T(p) and $T_d(p)$.

Usually also the horizontal-wind profile is shown on the right side.





A potentially unstable sounding shown on a Skew-T

28-jun-1998,12:00:00 Skew-t plot for rds16044 (28-Jun-1998,11:00:00).





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28-jun-1998,12:00:00 Skew-t plot for rds16044 (28-Jun-1998,11:00:00).



If the mean air in the lowest levels (note the superadiabatic surface) is lifted along a dry adiabat until LCL and then along a saturated pseudo-adiabat, a LFC can be found, hence CAPE> 0. Note that it is needed some forcing to overtake the CIN area.





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A Theta-plot chart (made by the NCAR "Zebra" software)



 On the Thetaplot diagram the abscissa is Θ_e, so that saturated pseudo-adiabats (iso-Θ_e) are vertical lines.

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Theta-plot graphical explanation



On each level of a Theta-plot these 3 values are drawn: Θ_{ed} , Θ_{e} and Θ_{es} .





Theta-plot graphical explanation



On each level of a Theta-plot these 3 values are drawn: Θ_{ed}, Θ_{e} and Θ_{es} . This Udine sounding, launched at 11:00 UTC of 05/09/2013, has an inversion layer $(\mathrm{d}T/\mathrm{d}z < 0)$ at 800 hPa and also a laver where $d\Theta_e/dz < 0$ (between 900 and 850 hPa), but it is not *potentially* unstable (no LFC).

by Agostino Manzato 25





On Theta-plot is easy to identify constant Θ_e layers



The "third" line of the Thetaplot shows Θ_e , that is one of the most *conserved* variables in atmosphere, since it is conserved even in *"dry layers"*, like that between 1000 and 925 hPa (q=10g/kg!).





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The "third" line of the Thetaplot shows $\Theta_{\mathbf{e}}$, that is one of the most conserved variables in atmosphere, since it is conserved even in "dry layers", like that between 1000 and 925 hPa (q=10g/kg!). The small superadiabatic layer near surface can lead to overestimation of instability, if surface is taken as initial parcel.





Vertical time-series of Θ_e observed by RDS every 6h







Vertical time-series of Θ_e observed by RDS every 6h







RDS vertical time series every 12 h for widespread hailfall





RDS vertical time series every 12 h for widespread hailfall






Equivalent Potential Temperatures on a Theta-plot

28-jun-1998,12:00:00 Theta plot (rds16044).



Operatively, the Theta-plot diagram is computed observing at different levels p, T and T_d , then deriving $q(p, T, T_d)$ and $q_{sat}(p, T)$ and lastly computing and drawing at each level $\Theta_{ed} = \Theta_e(p, T_d, q),$ $\Theta_e = \Theta_e(p, T, q)$ and $\Theta_{es} = \Theta_{e}(p, T, q_{sat}).$

by Agostino Manzato 31



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Temperatures on a Theta-plot

28-jun-1998,12:00:00 Theta plot (rds16044).



The vertical profiles of Θ_{ed} , Θ_e and Θ_{es} intersect on the isotherms T_d , T_w and T respectively, because of the correspondences seen before. Example shows temperatures at 850 hPa. $(\Theta_{es} - \Theta_{ed})$ resembles the dew-point depressure $(T - T_d)$: the more distant are these two lines. the more dry is that level.

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by Agostino Manzato 32



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Mixing ratios on a Theta-plot

28-jun-1998,12:00:00 Theta plot (rds16044).



The vertical profiles of Θ_{ed} and Θ_{es} intersect on the iso-mixing ratio lines (q = const) **q** and **q**_{sat}, respectively.

by Agostino Manzato 33



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Mixing ratios on a Theta-plot

28-jun-1998,12:00:00 Theta plot (rds16044).



The vertical profiles of Θ_{ed} and Θ_{es} intersect on the iso-mixing ratio lines (q = const) q and q_{sat}, respectively.

The sounding shown is the Udine RDS launched at 11:00 UTC of 28/06/1998. Note that soundings are launched before their nominal "time" because the ascension to tropopause takes about 45 minutes.

A potentially unstable sounding shown on a Theta-plot

28-jun-1998,12:00:00 Theta plot (rds16044).



If the mean air in the lowest levels (avoiding the surface superadiabatic thin layer) is lifted along a dry adiabat until LCL and then along a vertical saturated pseudo-adiabat, a LFC can be found, hence CAPE> 0.

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by Agostino Manzato 34

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If the mean air in the lowest levels (avoiding the surface superadiabatic thin layer) is lifted along a dry adiabat until I CL and then along a vertical saturated pseudo-adiabat, a LFC can be found, hence CAPE > 0. Since Θ_e is conserved along the whole process, the LFC exists if and only if Θ_e of the initial parcel is *larger* then the lowest Θ_{es} in the mid-levels, i.e. MaxBuo > 0







Since Θ_e is conserved along the whole process, the Lifted Parcel Theory on a Thetaplot means simply to draw a vertical line starting from the initial parcel Θ_e , that fixes everything else.

Image: A math a math

by Agostino Manzato 35







Since Θ_e is conserved along the whole process, the Lifted Parcel Theory on a Thetaplot means simply to draw a vertical line starting from the initial parcel Θ_{e} , that fixes everything else. In this case there is a first LFC*, followed by a *capping* layer, CAP. In this case, it is an *inversion*, but in general it is sufficient to have a layer where $d\Theta_{es}/dz > 0$ and not also dT/dz > 0, because an increase of Θ_{es} with z can already stop the rising parcel. by Agostino Manzato 36







Choosing another *initial* parcel means simply to start from a different Θ_e and to draw another vertical line. It is immediate to see how LFC and EL change and how much are reduced the CAPE energy and the MaxBuo.

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by Agostino Manzato 37







Choosing another initial parcel means simply to start from a different Θ_{ρ} and to draw another vertical line. It is immediate to see how LEC. and EL change and how much are reduced the CAPE energy and the MaxBuo. On the Thetaplot the Most Unstable Parcel (MUP) is simply identified as the level (or thin *layer*) having the maximum Θ_e in the low levels. The choice of the initial parcel determines everything in the adiabatic lifting. by Agostino Manzato 37



A potentially stable sounding having $\mathrm{d}\Theta_e/\mathrm{d}z < 0$



If Θ_e is always lower than Θ_{es} then it is not possible to find a LFC, hence CAPE = 0 and MaxBuo< 0. It is better to have a variable defined even for stable soundings (like MaxBuo or LI) than a *bounded* variable like CAPE.

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by Agostino Manzato 38



A potentially stable sounding having $\mathrm{d}\Theta_e/\mathrm{d}z < 0$



If Θ_e is always lower than Θ_{es} above, then it is not possible to find a LFC, hence CAPE = 0 and MaxBuo < 0. It is better to have a variable defined even for stable soundings (like MaxBuo or LI) than a *bounded* variable like CAPE. Note that the fact that in this example there are two layers with $d\Theta_e/dz < 0$ have no influences on the potential instability.

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by Agostino Manzato 39





 The fact that the identification of the initial parcel fixes its Θ_e value means that all the rest of the pseudo-adiabatic lifting is determined by that single value.







- The fact that the identification of the initial parcel fixes its Θ_e value means that all the rest of the pseudo-adiabatic lifting is determined by that single value.
- After that the -conserved- Θ_e value of the initial parcel is chosen, all its buoyancy and derived indices (LFC, EL, CAPE, CIN, MaxBuo, LI,...) will depend only by the Θ_{es} profile above it, hence by the environmental temperature alone, not by its humidity profile.

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- The environmental humidity is particularly important in the *lowest levels*, where the initial parcel is chosen, because the initial Θ_e value strongly depends on it, but it is not important (from the point of view of the parcel buoyancy) above the level where the initial parcel is taken.

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- The environmental humidity is particularly important in the *lowest levels*, where the initial parcel is chosen, because the initial Θ_e value strongly depends on it, but it is not important (from the point of view of the parcel buoyancy) above the level where the initial parcel is taken.
- All that is true when buoyancy is computed using the normal temperature. If the virtual correction is used, then there is a -very small- influence of the environmental humidity profile even above the initial parcel level.





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- The area in the skew-T are proportional to the real energy, so the CAPE/CIN "areas" are perfectly proportional to their values. That is not true on the Theta-plot, but presently these values are computed via software's.



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- In the Theta-plot show also ⊖_e, that is probably the single most useful variable in meteorology, because it is conserved under many processes.
- On the Theta-plot it is very easy to identify if an initial parcel has a LFC (unstable sounding) or not, just lifting it along a vertical line.





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- On the Theta-plot it is very easy to identify if an initial parcel has a LFC (unstable sounding) or not, just lifting it along a vertical line.
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- The Theta-plot show also T_w and not only T and T_d . Make your choice!

by Agostino Manzato 41





Section 4

Some sounding-derived indices and their properties



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• A radiosounding is a very complex set of data describing the detailed thermodynamical and horizontal-wind structure of the atmospheric profile. For example, the Vaisala RS-92 sonde provides one observed level every one second. The nominal ascension velocity is about 4.4 m/s, so the troposphere is sampled in about 45 minutes (more than 2500 measured levels!), during which the horizontal winds can shift the sounding location of about 10-50 km.

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- Manzato and Morgan (2003) and Manzato (2003) have presented the SOUND_ANALYS.PY software to compute ~ 50 indices from a high-vertical resolution sounding.





Comparing the raw data with the GTS-TEMP format 1/3

10000000000000000000000000	201507	/04_12_U	Idine_ori	ginal.txt - B	locco no	te							
616 44 4604 139 93 2015 O'04 1100 J223362 R592-567 10 1031 1009 304 45 17 18 1007	Eile Mor	difica F	ormato	<u>V</u> isualizza	2								
ITLM HELGEN PRESS T Nu	616	44	4604	1319	93	2015	0704	1100	32923862	RS92-SGP			
0 93 10110 3100 44 127 128 TUDPY 2 1111 1000 300 44 127 128 39 Ti 3 1127 10089 300 44 127 128 111 160444 LPD Udin 5 128 10089 297 46 140 127 128 100 176 100 <th>TIME F</th> <th>EIGHT</th> <th>PRESS</th> <th>т</th> <th>RH</th> <th>Td</th> <th>Wdir</th> <th>Wspd</th> <th>Code</th> <th></th> <th>\sim</th> <th></th> <th></th>	TIME F	EIGHT	PRESS	т	RH	Td	Wdir	Wspd	Code		\sim		
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$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Ś	128	10071	291	45	160	176	40	100				
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	6	133	10065	289	45	159	175	40	100				
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	7	138	10060	288	46	159	175	41	100		PRES	HGHT	TEMP
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	8	142	10055	287	46	161	174	41	T		hPa	n	с
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	10	151	10030	28/	47	162	172	41	100				
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	11	155	10040	286	48	164	172	42	100		1011.0	147	31.0
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$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	14	171	10023	284	49	166	171	42	100		868.0	1425	17.2
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	15	175	10017	284	49	166	170	42	100		865.0	1454	17.6
18 194 1996 682 40 166 163 42 100 47.6 137.6 14.3 19 020 987 281 30 167 168 42 100 47.6 37.83 14.3 20 021 997 281 30 167 168 44 100 47.6 27.83 12.24 21 207 997 281 50 168 167 44 100 47.6 27.84 12.44 22 223 9950 797 51 168 167 44 100 47.6 164 4.1 24 233 9950 777 51 169 165 39 100 45.6 47.4 4.1 27 755 9930 775 52 169 163 38 200 907.0 916 4.4 4.4 100 900 900 900 900 900	16	181	10011	283	49	166	169	42	100		850.0	1604	16.8
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$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	19	202	9987	282	50	167	168	41	100		809.0	2025	17.2
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	20	209	9979	281	50	167	167	41	100		781.0	2323	15.2
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	21	216	9971	280	50	168	167	41	100		744.0	2734	12.9
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	22	223	9964	280	51	168	167	40	100		681.0	3463	6.8
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	23	229	9957	279	51	169	166	40	100		659.0	3728	4.8
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	24	235	9950	2/9	51	169	166	39	100		624.0	4168	1.3
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	25	241	9943	2/8	51	169	165	39	200		595.0	4552	-1.7
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	20	253	9930	276	52	169	165	38	200		573.0	4856	-4.1
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	28	259	9923	276	52	168	164	38	200		558.0	5064	-4.9
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	29	266	9916	276	52	168	164	37	200		547.0	5220	-5.5
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	30	273	9908	275	52	169	164	36	200		537.0	5365	-6.1
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	31	280	9900	275	52	169	163	36	200		509.0	5920	-0.3
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	32	286	9893	2/4	23	169	163	35	200		498.0	5951	-9.3
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	20	292	900/	274		160	162	22	200		485.0	6152	-11.1
$ \begin{array}{ccccccccccccccccccccccccccccc$	35	303	9874	273	53	169	162	34	200		432.0	7031	-18.9
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	36	309	9867	272	53	169	161	33	200		418.0	7275	-20.8
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	37	315	9860	272	53	168	161	33	200		406.0	7491	-22.5
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	38	321	9854	271	53	168	160	32	200		400.0	7600	-23.1
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	39	32/	9848	2/1	23	168	160	32	200		397.0	7655	-23.5
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	40	333	9841	270	24	170	159	31	200		333.0	8909	-33.9
$ \begin{array}{ccccccccccccccccccccccccccccccc$	41	344	9833	270	54	171	158	30	200		326.0	9057	-34.9
$ \begin{array}{ccccccccccccccccccccccccccccccc$	43	349	9823	270	55	172	157	30	200		317.0	9251	-36.3
	44	355	9817	270	55	172	156	29	200		308.0	9450	-37.5
	45	361	9810	269	55	172	155	29	200		300.0	9630	-38.9
	46	367	9803	269	55	171	154	28	200		293.0	9792	-40.3
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	47	373	9796	268	55	170	153	28	200		281.0	10077	-42.5
50 390 9777 265 55 166 149 26 200 250.0 1486.0 487.7 51 395 9772 264 55 165 148 26 200 247.0 1939.0 -48.7 52 400 9772 264 55 165 147 25 200 227.0 1939 -49.1 52 400 9761 263 55 165 146 25 200 227.0 1148 -52.9 53 402 9761 263 55 165 146 25 200 217.0 1148 -52.9	48	3/9	9/90	26/	22	168	150	2/	200		280.0	10101	-42.7
51 595 9772 264 55 165 148 26 200 247.0 10980 +6.1 52 400 9767 263 55 165 147 25 200 247.0 10980 +6.1 52 400 9767 263 55 165 147 25 200 227.0 1186 -82.9 53 402 9761 263 55 165 146 25 200 217.0 147974 -35.5	50	300	0777	265		166	149	26	200		252.0	10807	-10.3
52 400 9767 263 55 165 147 25 200 227.0 11486 -52.9 53 405 9761 263 55 165 146 25 200 217.0 11486 -55.5	51	395	9772	264	55	165	148	26	200		247.0	10939	-49.1
53 405 9761 263 55 165 146 25 200 217.0 11974 55.5	52	400	9767	263	55	165	147	25	200		227.0	11486	-52.9
	53	405	9761	263	55	165	146	25	200		217.0	14774	-55.5

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6044 LIPD Udine Observations at 12Z 04 Jul 2015

PRES	HGHT	TEMP	DNPT	RELH	MIXE	DRCT	SENT	THEA	THIE	THIN
hPa	n	с	с		g/kg	deg	knot	ĸ	K	K
1011.0	39	31.0	17.0	13	12.20	180		303.2	339.7	305.4
1003.0	197	20.0	10.0	10	11.21	175		301.3	334.0	303.4
1000.0	191	20.2	10.2	40	11.71	170	0	301.4	336.1	303.5
925.0	876	21.6	15.6	69	12.19	165	8	301.4	337.5	303.6
868.0	1425	17.2	12.3	73	10.46	297	3	302.3	333.6	304.2
865.0	1404	17.0	11.0	60	10.01	304		303.1	333.1	304.9
850.0	1604	16.8	9.8	63	9.02	340	1	303.7	331.0	305.4
837.0	1735	16.2	8.2	59	8.21	344	3	304.4	329.4	305.9
809.0	2025	17.2	6.6	37	5.57	352		308.5	326.0	309.5
781.0	2323	15.2	-0.2	30	1.81		12	309.4	324.8	310.3
744.0	2734	12.4	-3.6	33	3.96		16	310.7	323.5	311.5
700.0	3241	8.6	-15.4	17	1.66	10	22	312.0	317.6	312.3
681.0	3463	6.8	-17.6	16	1.42	10	24	312.5	317.4	312.8
659.0	3728	4.8	-20.1	14	1.18	15	22	313.1	317.2	313.3
624.0	4168	1.3	-24.4	13	0.85	0	14	314.1	317.1	314.2
595.0	4552	-1.7	-28.1	11	0.64	15	18	314.9	317.1	315.0
573.0	4856	-4.1	-31.1	10	0.50	3	20	315.4	317.3	315.6
558.0	5064	-4.9	-40.5	4	0.20	355	22	316.9	317.7	316.9
547.0	5220	-5.5	-47.6	2	0.10	10	23	318.0	318.4	318.0
537.0	5365	-6.1	-54.1	1	0.05	7	22	319.0	319.2	319.0
509.0	5781	-8.5	-55.8	1	0.04	0	20	321.0	321.1	321.0
500.0	5920	-9.3	-56.3	1	0.04	5	19	321.6	321.8	321.6
498.0	5951	-9.3	-53.3	1	0.05	7	19	322.0	322.2	322.0
485.0	6152	-11.1	-50.2	2	0.08	20	19	322.2	322.6	322.3
432.0	7031	-18.9	-36.9	19	0.38	1	22	323.1	324.6	323.2
418.0	7275	-20.8	-33.0	33	0.57	355	23	323.8	325.9	323.9
406.0	7491	-22.5	-29.5	53	0.82	358	22	324.3	327.3	324.4
400.0	7600	-23.1	-32.1	44	0.65	0	21	324.9	327.3	325.0
397.0	7655	-23.5	-38.5	24	0.35	0	21	325.1	326.4	325.1
384.0	7897	-25.3	-40.3	23	0.30	0	21	325.8	327.0	325.9
333.0	8909	-33.9	-42.9	40	0.26	0	21	327.6	328.6	327.6
326.0	9057	-34.9	-47.9	25	0.15	0	21	328.2	328.8	328.2
317.0	9251	-36.3	-43.3	48	0.26	0	21	328.9	329.9	328.9
308.0	9450	-37.5	-53.5	17	0.09	0	21	329.9	330.3	329.9
300.0	9630	-38.9	-53.9	19	0.08	0	21	330.4	330.8	330.4
293.0	9792	-40.3	-58.3	13	0.05	2	23	330.7	330.9	330.7
281.0	10077	-42.5	-67.5	S	0.02	s	26	331.5	331.6	331.5
280.0	10101	-42.7	-67.2	5	0.02	5	26	331.6	331.6	331.6
252.0	10807	-48.3	-59.3	27	0.05	10	14	333.4	333.6	333.4
250.0	10860	-48.7	-58.7	30	0.06	10	14	333.5	333.8	333.5
247.0	10939	-49.1	-59.1	30	0.05	7	14	334.1	334.3	334.1
227.0	11486	-52.9	-66.9	17	0.02	343	12	336.4	336.5	336.4

The first \sim 50 levels in a RAW sounding (left) or TEMP format, (right) anzato 44





Comparing the raw data with the GTS-TEMP format 2/3



Thetaplot diagram from a RAW sounding (left) or TEMP format (right). The main features are preserved but many high resolution details, in particular in the low-levels, are smoothed away and that change the value of sounding-derived indices.



VOLUME 23

Comparing the raw data with the GTS-TEMP format 3/3

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Manzato (2008) have done a comparison among 40 sounding-derived indices computed from RAW and TEMP formats (and also ECMWF pseudo-sounding forecasted indices), finding R² between 0.6 and 1.0, but for the Shear in the lowest 3 km, which had a very low correlation.

lank	Index	R^2	а	b	Bias	RMSE	RAE	No. of cases
1	PWE (mm)	0.99	1.00	0.1	-0.1	0.7	0.03	550
2	KI (°C)	0.99	0.98	0.3	-0.1	1.2	0.07	547
3	Thetae (K)	0.99	0.99	4.2	-1	1.6	0.08	546
4	MRH (%)	0.99	0.99	-0.06	0.6	1.8	0.09	540
5	LRH (%)	0.98	0.98	0.5	0.4	2.6	0.12	546
6	MLWv (m s ⁻¹)	0.97	1.09	0.06	-0.08	1.0	0.18	546
7	MLWu (m s ⁻¹)	0.96	1.04	-0.1	0.2	1.0	0.17	546
8	Mix (g kg ⁻¹)	0.96	1.06	-0.2	-0.2	0.8	0.16	546
9	WBZ (m)	0.96	0.92	-22	210	319	0.23	550
10	PWC (mm)	0.96	1.08	0.6	-1.3	3.6	0.13	546
(1	CAPE (J kg ⁻¹)	0.95	1.13	16	-34	105	0.17	550
12	DT500 (°C)	0.95	0.93	-0.3	0.5	1.2	0.20	545
13	SWEAT	0.95	0.96	2.5	-0.2	12.7	0.13	546
4	HD (cm)	0.94	1.11	0.09	-0.19	0.6	0.17	546
15	VFlux (kg s^{-1} m ⁻²)	0.94	1.00	0.0001	-0.0001	0.004	0.22	545
6	UpDr $(m s^{-1})$	0.94	1.05	0.7	-1	3.1	0.15	546
7	EL (m)	0.93	1.00	295	-265	891	0.15	255
18	Tbase (°C)	0.93	1.04	0.1	-0.2	3.4	0.20	546
9	MaxBuo (K)	0.92	0.88	1.3	-1.6	2.4	0.37	546
0	DTC (°C)	0.92	0.94	-0.2	0.3	1	0.26	456
1	$LLWu (m s^{-1})$	0.92	1.08	0.3	-0.4	1	0.31	442
2	VFlux (kg s ⁻¹ m ⁻²)	0.91	0.99	0.0003	-0.0001	0.004	0.29	545
3	b PBL (cm s ⁻²)	0.90	1.03	0.02	-0.02	0.1	0.27	546
4	MLWspd (m s ⁻¹)	0.90	1.09	-0.09	-0.44	1.25	0.31	546
25	SWISS	0.87	0.85	-0.9	1.5	2.7	0.43	392
26	LLWspd $(m s^{-1})$	0.87	1.06	0.4	-0.6	1.1	0.43	442
27	Rel Hel $(m^2 s^{-2})$	0.87	1.16	4.5	-10.6	29.7	0.37	545
28	LI (°C)	0.86	0.82	0.5	-0.1	1.7	0.36	546
29	$LLWy (m s^{-1})$	0.84	1.09	-0.05	0.11	0.99	0.42	442
30	ShowI (°C)	0.81	0.83	0.8	0.2	1.7	0.36	546
31	MEL (m)	0.80	0.87	711	-380	585	0.53	546
32	Hel $(J kg^{-1})$	0.80	1.06	2.3	-3.4	30.2	0.41	546
33	LCL (m)	0.77	1.03	-31	-28	549	0.36	546
\$4	BRI	0.74	1.11	0.04	-1.4	24.2	0.26	501
35	CAP (°C)	0.74	0.65	1.2	1	3.3	0.60	510
36	EHI	0.71	0.91	0.02	-0.02	0.09	0.27	549
37	$CIN (J kg^{-1})$	0.70	0.82	-63	-29	300	0.33	550
38	h MUP (m)	0.65	1.06	-164	95	564	0.46	546
39	LFC (m)	0.59	0.70	43 <	815	1030	1.24	255
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WEATHER AND FORECASTING





From a sounding it is possible to derive three types of information:

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From a sounding it is possible to derive three types of information:

Environmental indices (that do not need to apply the Lifted Parcel Theory). Very commonly used are: K-index, Precipitable Water (PWE), mean relative humidity of a layer, mean wind of a layer, Shear, Helicity...



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- Environmental indices (that do not need to apply the Lifted Parcel Theory). Very commonly used are: K-index, Precipitable Water (PWE), mean relative humidity of a layer, mean wind of a layer, Shear, Helicity...
- Indices that are computed based on the Lifted Parcel Theory and hence strongly depends on the choice of the initial parcel (initial Θ_e) and on the details observed in the low-levels. Very commonly used *instability indices* are: LCL height or temperature, Showalter or Lifted Index, CAPE, CIN, updraft velocity, MaxBuo...





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- Environmental indices (that do not need to apply the Lifted Parcel Theory). Very commonly used are: K-index, Precipitable Water (PWE), mean relative humidity of a layer, mean wind of a layer, Shear, Helicity...
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- Mixed indices, which typically uses instability indices together with wind information. Very commonly used are: Energy-Helicity Index (EHI), SWEAT, SWISS...

We will see only a few of them!


Analysis of the termodynamic profile of the atmosphere

K-Index and its 1995-2002 distribution above Udine



One of the oldest indices is the K-Index (George, 1960). The dot line is the sub-sample for soundings associated with convective activity in the FVG plain in the 6 hours after launch (1995-2002). Values above 25 are often associated with lightning occurrences.

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 $KI = T_{@850} - T_{@500} + T_{d @850} - (T_{@700} - T_{d @700}).$ (17)

KI is defined using only environmental temperature and dew-point depressure on three mandatory levels. Even if very simple, it is also correlated to rainfall intensity.





• *Showalter* (1953) was the first to use the difference of temperature between the lifted parcel and the environmental air at 500 hPa:

ShowI =
$$T_{e \ @500} - T_{p \ @500}$$
 [K] (18)

Showalter used as initial parcel the mean air at 850 hPa.



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Showalter used as initial parcel the mean air at 850 hPa.

• Galway (1956) defined the *Lifted Index* (LI) in the same way, but using as initial parcel the mean air of the lowest 500 m.



소리가 소문가 소문가 소문가 ...





• *Showalter* (1953) was the first to use the difference of temperature between the lifted parcel and the environmental air at 500 hPa:

ShowI =
$$T_{e @500} - T_{p @500}$$
 [K] (18)

Showalter used as initial parcel the mean air at 850 hPa.

- Galway (1956) defined the *Lifted Index* (LI) in the same way, but using as initial parcel the mean air of the lowest 500 m.
- Nowadays the most used "lifted index" is the one using as initial parcel the Most Unstable Parcel (max ⊖_e), called DT500 in Manzato (2003) or MULI by many authors.

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- Manzato (2003) introduced also the temperature difference between environment and lifted parcel evaluated at a fixed *parcel temperature* (chosen at -15°C) instead than to a fixed pressure level (500 hPa). It was called Difference of Temperature at the Core Level (DTC).



1995-2002 distribution of the Udine MULI (called DT500)



The dot distribution is the sub-sample for soundings associated with convective activity in the FVG plain in the 6 hours after launch (1995-2002). Low (< $+2^{\circ}$ C) or negative values are associated with lightning occurrences.

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At least in Europe, there are a number of evidences where the Most Unstable Lifted Index gives better *statistical performances* when forecasting convection (lightnings or hail or storm occurrences) than CAPE, which is a bounded variable. References includes Manzato (2003), Groenemeijer & van Delden (2007), Kunz (2007), Ukkonen et al. (2017), autors of

1995-2002 distribution of the Udine CAPE and CIN



Frequency distribution for CIN (5800 cases, 1540 active cases)



Differently from the "two-level" instability indices (which includes also MaxBuo), CAPE and CIN are *integrated* measures of buoyancy (positive for CAPE and negative for CIN). Note that SOUND_ANALYS.PY computes the maximum UpDr velocity using CAPE integrated only up to the parcel level of -15° C, instead than up to EL.

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Maximum Buoyancy and Downdraft Potential



Storms are more likely when MaxBuo > -2K. Morgan and Tuttle (1984) defined MaxBuo but also other indices, like the difference between the maximum Θ_{es} in the low levels and the minimum Θ_e in the mid levels, called *Downdraft* Potential. **DownPot**= $Max(\Theta_{es}|_{low}) - Min(\Theta_{e}|_{mid}).$



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Physical meaning: the coolest and more dry air in the middle troposphere $[Min(\Theta_e|_{mid})]$ is supposed to saturate by rainfall evaporation and hence is brought down along a saturated pseudo-adiabat (Θ_e is conserved). The maximum thermal contrast (generating the outflow wind) will happen at the low level where Θ_{es} is maxima. It tries to estimate the *downdraft negative buoyancy*.





Wind hodograph and shear



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Wind hodograph and shear



The *hodograph* is the plot of the two horizontal wind components u and v. Low level *veering* favors uplift. The hodograph path length is called Shear.

$$\mathbf{Shear} = \frac{\int_{z_0}^{z_N} \left\| \frac{\partial W}{\partial z} \right\| \cdot \mathrm{d}z}{z_N - z_0} \cong \frac{\sum_{1}^{N} \sqrt{(u_n - u_{n-1})^2 + (v_n - v_{n-1})^2}}{z_N - z_0} \underset{\text{by Agostino Manzato 53}}{=} (19)_{\text{c}}$$





• Shear is usually computed from surface up to 6 or from surface up to troposphere (about 12 km).



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- Shear is usually computed from surface up to 6 or from surface up to troposphere (about 12 km).
- Very often the shear is confused with the Bulk Shear, that is simply the magnitude of the vectorial difference between two winds at two different levels: $BS = \sqrt{(u_2 u_1)^2 + (v_2 v_1)^2}$.



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- The most used levels for the BS are: sfc vs. 1 km, sfc vs. 850 hPa, sfc. vs. 3 km, sfc. vs. 5 km, 1 km vs. 3 km, 1 km vs. 6 km...

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- For example, the Bulk Richardson Number is defined as 2 times CAPE divided by the square of the bulk shear between 6 km and 500 m: **BRN**= $2\frac{\text{CAPE}}{BS_{BRI}^2}$.





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- For example, the Bulk Richardson Number is defined as 2 times CAPE divided by the square of the bulk shear between 6 km and 500 m: **BRN**= $2\frac{\text{CAPE}}{BSept^2}$.
- In very complex orography terrains, like northern Italy, it is not obvious that shear will have the same importance in governing storm organization (single cell, multicell, squall lines) as it has been found in the US's plains, because of representativeness of RDS-derived shear compared with the real interaction between winds and complex orography...





Storm–Relative Helicity



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Storm–Relative Helicity



The Storm-Relative Helicity (Davies-Jones 1990) is the area between the storm-velocity vector, V_s , and the hodograph. Usually integrated up to 3 km. $_{z_0} \text{ srH} = -\int_{z_0}^{z_N} \vec{k} \cdot (\vec{W} - \vec{V_s}) \times \frac{\partial \vec{W}}{\partial z} \cdot dz \simeq -\sum_{1}^{N} (u_n - u_s)(v_n - v_{n-1}) - (u_n - u_{n-1})(v_n - v_s)$ (20) Should be useful for supercells and tornadogenesis.

Water Vapor Flux in the lowest 3 km



by Agostino Manzato 56

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Water Vapor Flux in the lowest 3 km



Physical meaning: strong moist winds blowing from South (VFlux< 0) brings the "convective fuel" against the orographic barrier, producing convection triggered by orographic lifting or strong precipitating systems (especially in autumn). Useful in particular for heavy rainfall forecast.





Example of $SOUND_ANALYS.PY$ output in a HTML page

SOUND_ANALYSIS RESULTS:

1 ear	Month	Day	Hour					
1998	06	28	12					
Udine Sounding (WMO code 16044, managed by the Italian Aeronautica Militare)								

т	Tv	Tve
337.2	337.2	337.2
12.4	12.4	12.4
271	271	271
1124.2	1243.1	1220.4
-46.4	-14.7	-25.0
12514	12514	12514
11506	11464	11857
2932	2080	2608
1469	1469	1469
14.5	14.4	14.4
752	752	752
4402	4587	4312
3421	3421	3421
29.7	32.6	26.0
5.0	6.0	3.8
34.5	34.2	34.2
44.3	44.1	44.4
64.1	64.1	64.1
58.7	58.7	58.7
22.5	22.5	22.5
1.4	1.4	1.4
-47.0	-47.0	-47.0
47.6	47.6	47.6
10.33	10.33	10.33
	T 337.2 12.4 271 112.42 271 112.42 271 2514 11506 2532 1469 14.5 3421 25.7 5.0 34.5 5.0 34.5 5.0 34.5 5.0 34.5 1.4 44.3 64.1 58.7 22.5 1.4 44.3 64.1 58.7 22.5 1.4 44.3 64.1 58.7 22.5 1.4 44.3 64.1 58.7 20.1 50.0 50.0 50.0 50.0 50.0 50.0 50.0 5	T To 337.2 337.2 337.2 337.2 124 12.4 212 21.2 212 21.2 212 21.2 11242 12.4 11242 12.4 11242 12.4 1254 14.4 202 20.0 1460 14.4 212 21.2 214 14.4 215 14.4 216 43.2 217 32.4 218 32.4 219 32.4 310 44.2 44.1 44.1 45.1 44.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1 45.1

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Beyden Lades [91.698.9] BOY Vageon Flox [41:20 gm/2c-1] VFax U.Low Lev. Wind [4:0.39 ms] LLWu VLow Lev. Wind [4:0.32 ms] LLWY LLWY LLWY	28.7	28.7	28.7				
Yapour Flax [-41:20 gm.2e-1] VFlax U Low Lev, Wind [-2:7:6 ma] LLWu Vlow Lev, Wind [-4:0:3 mb] LLWy U low Lev, Wind [-10:3:52 mb]	95.4	95.4	95.4				
U Low Lev. Wind [-2.7.7.6 m/s] LLWu V Low Lev. Wind [-4.0.3.9 m/s] LLWy U Med. Lev. Wind [-10.2.7 m/s]	-31.7	-31.7	-31.7				
V Low Lev. Wind [-4.0.3.9 m/s] LLWv	0.5	0.5	0.5				
[13.6ad Low Wind [10.2:5.7 w/a]	-3.1	-3.1	-3.1				
MLWu	-7.6	-7.6	-7.6				
V Med. Lev. Wind [-13.2:4.3 m/s] MLWv	-3.1	-3.1	-3.1				
U High Lev. Wind [-31.3:9.9 m/s] HLWu	-23.9	-23.9	-23.9				
V High Lev. Wind [-18.6:24.4 m/s] HLWy	-4.2	-4.2	-4.2				
Low Level Jet (>15m/s) Depth [0:2776 m]	387	387	387				
High Level Jet (>30m/a) Depth [0:4781 m] HLJD	0	0	0				
Bulk Richardson Numb. [0:86] BRI	34.1	37.5	36.8				
Bulk Shear sfc-850hPa [1.2:11.5 m/s] BS850	1.7	1.7	1.7				
<u>Shear * E-3</u> [4.0:14 s-1] Shear	4.6	4.6	4.6				
Shear * E-3 [5.0:17 s-1] Shear 3	0.0	0.0	0.0				
Helicity [-55:127 J/kg] Hel	50.1	50.1	50.1				
Storm Rel. Helicity [-18:187 J/kg] Rel. Hel	65.2	65.3	65.3				
Energy-Hel. [-0.004:0.298 m4/s4] EHI	0.46	0.51	0.5				
Radiosende vertical vel. [3.78:5.53 m/8] VV		4.46	4.46				
Stand. dev. vertical vel. [0.25:0.97 m/s] VVstd	4.40						
Sounding Analysis results							



Just an example of the many indices computed by Sound Analys.PY (freely available upon request) for the Udine 1998/06/28 12 UTC sounding. The three methods "T", "T_v" and T_{vc} are explained in Manzato and Morgan (2003).

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by Agostino Manzato 57





Matrix of inter-correlations among sounding-derived indices

Manzato JAMC (2012) has studied the correlations among 52 indices derived from 1992-2009 00, 06, 12 and 18 UTC Udine soundings. **RDS-derived** indices can be seen as a non-linear reduction of 3D observed atmosphere variables $(p, T, RH, \Theta_e, wind at$ many levels) into a set of highly intercorrelated parameters.

|Correlation Matrix| of all the predictors (1992-2009)







Results: 3 groups of indices inter-correlated ($R \ge 0.80$)

Indices related to the most unstable parcel, like its equivalent potential temperature (Θ_e), its mixing ratio (Mix) and the height where its ascent temperature becomes 0 °C (MEL), or related to other environmental characteristics, like the height where the atmospheric wet bulb temperature becomes 0 °C (WBZ) and the precipitable water integrated along the entire atmospheric column (PWE).

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- Indices of "two-levels" potential instability such as Lifted Index (Galway 1956), Showalter Index (Showalter 1953), DT500 and DTC (Manzato 2003), i.e. the "lifted index family". Also the Maximum Buoyancy (Morgan and Tuttle 1984, Manzato and Morgan 2003,) is very well related to three of these indices.

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- Indices of "integrated" potential instability, i. e. maximum updraft velocity (UpDr), hail diameter (HD), CAPE and precipitable water integrated between LFC and the equilibrium level (PWC). MaxBuo is also well correlated with three of these indices.

High spatio-temporal variability of sounding-derived indices



Miglietta et al. (2016) have shown how fast some instability indices can vary, using WRF simulations on the HyMeX case of 12 September 2012. Shown are the time-series of WRF-derived LI, MUP Θ_{e} and meridional component of Low-Level Wind (LLWv) above Udine (inland, on the right) and offshore (70 km southerly, on the left) every 5', but smoothed with 10'-moving average, for 6 different initial conditions.

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Obs. & WRF sim. convergence line for 12/09/12 supercell

A. Manzato et al. / Atmospheric Research 153 (2014) 98-118







Figure 12. (b) Maximum reflectivity (shading; values below 30 dbZ are not shown), θ_e (contour interval 3 K; contours) and wind vectors at 100 m, simulated by the WRF model inner grid (GFS1112 run) at 0905 UTC 12 Septe

Miglietta et al. (2016) simulated the convergence line feeding supercell that was seen by MSG Super Rapid Scan (Manzato et al. 2015).

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High spatiotemporal change MULI map (court. A. Pucillo)



Ex. of MULI spatial variability derived by ECMWF pseudo-RDS: from -1 to $-7 \,^{\circ}$ C 100 km apart.





12Z05Jun2017 : 09Z06Jun2017

High spatiotemporal change MULI map (court. A. Pucillo)





ECMWF

Ex. of MULI spatial variability derived by ECMWF pseudo-RDS: from -1 to $-7 \,^{\circ}$ C 100 km apart.

If the sounding is located near to a minimum of potential instability then the forecast can be underestimated.





Section 5

Forecasting with sounding-derived indices: examples & problems



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The simplest way to use indices is setting a threshold...





Here you can see a Thetaplot +hodograph (note veering) + index table made by Arturo Pucillo (OSMER) in GrADS.





The simplest way to use indices is setting a threshold...

J/ka] = 0

= -0.68

[m/s]

 $[J/k_{a}] = 1679.1$ m/s = 37.6uo [K] = 11.63

[am-2a-1] = -26.7

-99, -99, -99, -99



Here you can see a Thetaplot + hodograph (note veering) + index table made by Arturo Pucillo (OSMER) in GrADS. Note the red-green colors when a statistical threshold (found maximizing the **Pierce Skill** Score) is exceeded.




• Instead of using one or more indices dichotomized with a "magic" threshold, it is much more useful to apply a multivariate analysis, in the multispace of more indices (*joint* probability).



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- Instead of using one or more indices dichotomized with a *"magic"* threshold, it is much more useful to apply a multivariate analysis, in the multispace of more indices (*joint* probability).
- The simplest way to do it is to apply a Linear Discriminant Analysis (LDA), finding a condition like $a_1X_1 + a_2X_2 + ... + a_NX_N \leq const$.



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- The simplest way to do it is to apply a Linear Discriminant Analysis (LDA), finding a condition like $a_1X_1 + a_2X_2 + ... + a_NX_N \leq const$.
- Since the instability indices (candidate predictors) are usually too many and since they are often correlated among them, it is mandatory to implement a input selection algorithm, like a *stepwise selection* (forward or backward) or a brute-force exhaustive search of a limited subset of inputs (as the LEAPS algorithm in linear regression problems), in order to reduce noise in the statistical model.





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- When a complex statistical method is applied, like one with many predictors or non-linear models (neural networks), it is mandatory to avoid the *overfitting*. A good way is to develop the model fitting a *trainig* set and choosing the model that optimize the *validation* set. Lastly, an independent *test* sample should be used.





• For any forecasting problem it should be clarified if it is a classification problem (categorical forecast among a few classes, e.g. binary events) or a regression problem (forecasting the value of a continuous variable), because the statistical techniques used are different and also the verification methods are different (e.g. Cross-Entropy Error, ROC and indices of contingency table vs. MSE and Taylor diagram).

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- When simple linear methods are used, a pre-processing of inputs is not always needed, but when non-linear methods are applied (maybe using a random initial choice of parameters) it is much better to *pre-process* the candidate predictors to make their domains more similar.

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- For example, for regression problems, it is a commonly to standardize each variable, subtracting the mean value and dividing for the standard deviation.





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- For example, for regression problems, it is a commonly to standardize each variable, subtracting the mean value and dividing for the standard deviation.
- For the classification problem, we suggest to transform each variable in its empirical posterior probability of event occurrence, as explained in Manzato (2005).



From conditional distrib. to Event Posterior Probability



Posterior probability of rain>20 mm for MRH (1992-2005, 18555 cases)



An example, from Manzato (2007c), of transforming the Mean Relative Humidity in the lowest 500 hPa (MRH) into its Empirical Posterior Probability of having at least 20 mm of rain in the 6 hours after the sounding release.

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The histograms above (derived from the *active* and *non-active* empirical distributions) are proportional to the 4 entries of the contingency table (*a*, *b*, *c* and *d* divided by the total number of cases *N*), while the event posterior probability (Bayes theorem), shown below, becomes an effective pre-processing technique, when all variables are converted into functions fitting their empirical probabilities.



38-year hi-res climo of annual RAIN (Isotta et al. IJC 2013)

CLIMATE OF DAILY PRECIPITATION IN THE ALPS



Figure 6. Mean annual precipitation (mm per year) for the period 1971-2008 Agostino Manzato 68



Annual climo Udine daily rain and FVG MaxRain every 6h

Frequency of the 20089 Rain/24h cases in UDI per 31-days moving average of Julian day (1960-2014)



Mean value and SD of the 13131 MaxRain 6h cases per 30-days moving average of Julian day (20060215-20150215)



The annual cycle of 3–classes of daily rain in Udine (FVG plain), derived from 55 years, shows that weak rain are more likely in Spring, while rain> 20 mm probability is maximum in Sep-Nov.

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The annual cycle of the Maximum Rain in 6h in all the FVG region (derived by 10 years series of 104 raingauge stations) has a peak at the beginning of November, when strong flux precipitation (see Davolio et al., QJ 2016) falls on the Prealps, eventually with embedded convection. One can identify 3 different regimes.



Climatological forecast with indices is relatively "easy"

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The composite annual cycle of MULL and VFlux (from Manzato 2007b) has an high correlation with the annual cycle of FVG rain, and also for the mean-Adriatic SST from satellite has a good R.

Fig. 7. The annual cycle of the 30-day moving average DT500 vs. the 30-day moving average VFlux. On the top-right comer are the months with low North-South water vapour flux and high stability, while the left-bottom corner means soundings close to instability and with high VFlux. The tabels are written near the "middle of the month" points. The two gray lines used to divide in quarters correspond to the mean values of the DT500 and VFlux, only the 12 and 18 UTC soundings have been used.

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18-year EUCLID CG-LIGHTNING climo in "NE" Italy

DECEMBER 2014

FEUDALE AND MANZATO







Defining an objective Convective Activity variable

Manzato (2003) introduced an objective measure of 6-h storm "intensity":

$$CalCA6h = \begin{cases} \frac{\frac{1}{8} \cdot \ln(1 + num_light) + \frac{1}{6} \cdot \ln(1 + rain) + \frac{1}{3} \cdot \ln(1 + wind)}{2.9} & \text{if } num_light \ge 3, \\ 0 & \text{if } num_light < 3. \end{cases}$$
(22)

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It is a weighted sum of rain, C2G lightnings and gust. The distribution of thunderstorm "intensity" is bell shaped:







Trying to forecast thunderstorms in FVG plain with ANN

Manzato (2007) fitted the CalCA6h database with neural networks, using *a selection of Udine sounding–derived indices* as predictors. The same statistical model has been applied (via a linear fit) to ECMWF run12 pseudo–soundings.



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When the forecast ANN (ForCA6h) is above $\cong 0.5$ we expect strong storms, but in this case they are too strong: OBS= CalCA6h > 0.8!Weather forecast are more difficult than climate for.



Time Series of PseudoForCA6h and CalCA6h in the current period, run 12UTC.



The case of 20170810: Thetaplot of 12 UTC Udine RDS





Theta-plot shows the historical (in the last 26 years) maximum of potential instability in NE Italy: CAPE= 4352 J/kg, $MULI = -12 \circ C$ and MaxBuo = $+28 \,\mathrm{K}$, when using the virtual correction. No directional shear at all! イロト イポト イヨト イヨト

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All indices computed by $\operatorname{SOUND}\nolimits_A\operatorname{NALYS}.\operatorname{PY}$ in 3 ways

Index [5 : 95 percentils unit on all cases Auril-November 1995-2007]	т	Tv	Tve
MUP ThetaE [296:336 K] Thetae	355.9	355.9	355.9
MUP Mixing Ratio [2.2:12.5 g/kg] Mix	18.7	18.7	18.7
MUP height [228:2434 m] h MUP	189	189	189
Convective Available Potential Energy [0:923 J/kg]	3872.6	4351.6	4158.3
Convective Inhibition [-1385:-0.4 J/kg] CIN	0.8	1.1	1.1
Tropopause height [9298:13611 m] Trop	12580	12580	12580
Cloud top [2279:11687 m] EL	13146	13207	13561
Lev. of Free Convection [925:4136 m] LFC	714	699	714
Cloud base height [648:3553 m] LCL	681	681	681
Cloud base temperature [-12.2:15.3 C] Tbase	22.2	22.2	22.2
Boundary Layer Top [313:4297m] PBL	670	670	670
Melting level [1720:4338 m] MEL	5596	5863	5397
Wet Bulb Zero [921:3590 m] WBZ	4334	4334	4334
Max Updraft velocity [0:29.3 m/s] UpDr	62.1	66.8	61.9
Max Hail diameter [0:4.8 cm] HD	21.8	25.3	21.7
Precip. Wat. Env. [9.1:36.4 mm] PWE	52.5	51.9	51.9
Precip. Wat. Cloud [0:44.5 mm] PWC	75.2	73.9	73.2
sfc-25DhPa Low Rel. Humidity [38:91 %] LRH	73.2	73.2	73.2
sfc-500hPa Medium Rel. Humidity [31:88 %] MRH	84.7	84.7	84.7
500-300hPa High Rel. Humidity [11:71 %] HRH	72.9	72.9	72.9
CAP diff. theta_es [1.3:17.8 C] CAP	2.8	2.8	2.8
Low buo, accel. [-44:31cm/s2] b PBL	-78.5	-78.5	-78.5
Downdraft Potential [3.5:52.4 K] DownPot	44,4	44,4	44.4
Maximum Buoyancy [-9.8:9.6 K] MaxBuo	28.22	28.22	28.22
Lifted Index 500m [-2.57:13.0 C] LI	-11.0	-12.06	-9.31
Diff. Temp. 500hPa [-3.3:9.4 C] DT500	-11.04	-12.11	-9.35
Diff. Temp. at -15 [-3.5:7.0 C] DTC	-12.3	-13.24	-12.05





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Diff. Temp. at -15 [-3.5:7.0 C] DTC	-12.3	-13.24	-12.05

Showalter Index [-1.0.11.7 C] Showl	-3.2	-3.52	-2.25		
Stabil. Wind Shear Index Switz.) [-4.3:15.9] SWISS	-15.3	-16.3	-13.6		
Severe WEAth. Threat [7:4:186] SWEAT	248.9	248.9	248.9		
K Index [-4.9:33.4 C]	40.6	40.6	40.6		
Boyden Index [91.6:98.9] BOY	98.8	98.8	98.8		
Vapour Flux [-41:20 gm-2s-1] VFlux	-51.9	-51.9	-51.9		
U Low Lev. Wind [-2.7:7.6 m/s] LLWu	-3.1	-3.1	-3.1		
<u>V Low Lev. Wind</u> [-4.0:3.9 m/s] LLWv	-0.4	-0.4	-0.4		
U Med. Lev. Wind [-10.3:5.7 m/s] MLWu	-6.0	-6.0	-6.0		
V Med. Lev. Wind [-13.2:4.3 m/s] MLWv	-10.0	-10.0	-10.0		
U High Lev. Wind [-31.3:9.9 m/s] HLWu	-11.0	-11.0	-11.0		
V High Lev. Wind [-18.6:24.4 m/s] HLWv	-19.9	-19.9	-19.9		
Low Level Jet (>15m/s) Depth [0:2776 m] LLJD	2233	2233	2233		
High Level Jet (>30m/s) Depth [0:4781 m] HLJD	0	0	0		
Bulk Richardson Numb. [0:86] BRI	77.9	87.2	83.3		
Bulk Shear sfc-850hPa [1.2:11.5 m/s] BS850	3.9	3.9	3.9		
Shear * E-3 [4:0:14 s-1] Shear	11.3	11.3	11.3		
Shear * E-3 [5.0:17 s-1] Shear3	0.0	0.0	0.0		
Helicity [-55:127 J/kg] Hel	-43.6	-43.6	-43.6		
Storm Rel. Helicity [-18:187 J/kg] Rel Hel	37.2	37.3	37.3		
Energy-Hel. [-0.004:0.298 m4/s4] EHI	0.9	1.01	0.97		
Radiosonde vertical vel. [3.78:5.53 m/s] VV	4.93	4.93	4.93		
Stand, dev. vertical vel. [0.25:0.97 m/s] VVstd	0.92	0.92	0.92		
Sounding Analysis results					

For differences in the 3 adiabatic process methods see Manzato & Morgan (2003). by Agostino Manzato 77





ECMWF-derived maps of MUP Θ_e and MULI at 12 UTC



 Θ_e of the Most Unstable Parcel.

Most Unstable Lifted Index.

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ECMWF-derived maps of MUP Θ_e and MULI at 15 UTC



 Θ_e of the Most Unstable Parcel.

Most Unstable Lifted Index.

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ECMWF-derived maps of MUP Θ_e and MULI at 18 UTC



 Θ_e of the Most Unstable Parcel.

Most Unstable Lifted Index.

The shape of initial parcel Θ_e and potential instability are very similar! Max Θ_e is much lower than observed (356 K).





ECMWF-derived maps of CAPE and CIN at 12 UTC

ECMWF 00Z10Aug2017 : 12Z10Aug2017 46.8N 3000 2800 2600 46 8N 2400 2200 2000 46.4N 1800 1600 46.2N 1400 1200 1000 900 800 700 45.8N 600 500 45.6N 400 300 200 45.4N 100 45 2N 13,4E 13,6E 13,85 Gr4DS: COLA/IGES

CAPE was largerly underestimated.



CIN was largerly overestimated.

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ECMWF-derived maps of CAPE and CIN at 15 UTC



CAPE was largerly underestimated.



CIN was largerly overestimated.

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ECMWF-derived maps of CAPE and CIN at 18 UTC



CAPE was largerly underestimated.

CIN was largerly overestimated.

Only at 18 UTC ECMWF saw some more unstable air above Adriatic sea, in front of Istria.





ECMWF-derived maps of PWE and DownPot at 12 UTC



Environmental precipitable water.

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ECMWF-derived maps of PWE and DownPot at 15 UTC



Environmental precipitable water.

Downdraft Pot. $Max(\Theta_{es}|_{low}) - Min(\Theta_{e}|_{mid})$.





ECMWF-derived maps of PWE and DownPot at 18 UTC







MSG 10.8 μ m BT plus CESI lightnings 1200-1600 UTC

The Mesoscale Convective System started on the Apennines and developed strongly along the Adriatic coast.

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 by Agostino Manzato 87

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VMI radar, 5' stations and CESI lightnings 1400-1520 UTC

Only in Friuli Venezia Giulia region it produced more that 300 M€ of damages (mostly by wind).

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Section 6

Conclusions

"Pazzo è bene da catene, Chi fastidio mai si dà Per saper quel che sarà..." He is a raving madman who ever takes the trouble to know what the future holds...

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from the first act of "Sant'Alessio" (1631) by Stefano Landi (1587–1639), text by Giulio Rospigliosi (1600–1669, also known as Pope Clemente IX).

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 by Agostino Manzato 89





Conclusions

• Think in terms of equivalent potential temperature, ⊖_e, that is quite *conserved* and -if possible- look at the Thetaplot diagram.






- Think in terms of equivalent potential temperature, ⊖_e, that is quite conserved and -if possible- look at the Thetaplot diagram.
- Remember that *potential* instability is a characteristic of an atmosphere profile with respect to large displacements, while *static* (and conditional) instability is a characteristic of an atmosphere layer with respect to relatively small displacements.



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- Consider the high spatio-temporal variation of indices, particularly those using low-levels. Try to foresee possible trigger mechanisms.
- Try always a *multivariate* approach because more indices are better then 1 or 2 and be careful to avoid overfitting in your verification process. Use preprocessing. Test on a fully independent sample.





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