

# Mechanisms producing different precipitation patterns over north-eastern Italy: insights from HyMeX-SOP1 and previous events

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During the first HyMeX Special Observation Period (SOP1) field campaign, the target site of north-eastern Italy (NEI) experienced a large amount of precipitation, locally exceeding the climatological values and distributed among several heavy-rainfall episodes. In particular, two events that occurred during the last period of the campaign drew our attention. These events had common large-scale patterns and a similar mesoscale setting, characterised by southerly low-level flow interacting with the Alpine orography, but the precipitation distribution was very different. During Intensive Observing Period IOP18 (31 October–1 November 2012), convective systems were responsible for intense rainfall mainly located over a flat area of the eastern Po Valley, well upstream of the orography. Conversely, during IOP19 (4/5 November 2012), heavy precipitation affected only the Alpine area. In addition to IOP18 and IOP19, the present study analyses other heavy-precipitation episodes that display similar characteristics and which occurred over NEI during the autumn of recent years. A high-resolution (2 km grid spacing) non-hydrostatic NWP model and available observations are used for this purpose.

The two different observed precipitation patterns are explained in terms of interaction between the impinging flow and the Alps. Depending on the thermodynamic profile, convection can be triggered when the impinging flow is forced to rise over a pre-existing cold-air layer at the base of the orography. In this situation a persistent blocked-flow condition and upstream convergence are responsible for heavy rain localized over the plain. Conversely, if convection does not develop, flow-over conditions are established and heavy rain affects the Alps. Numerical parameters proposed in the literature are used to support the analysis.

Finally, the role of evaporative cooling beneath the convective systems is evaluated. It turns out that the stationarity of the systems upstream of the Alps is mainly attributable to persistent blocked-flow conditions, while convective outflow slightly modifies the location of precipitation.

*Key Words:* heavy precipitation; orography; HyMeX; Alps; convection

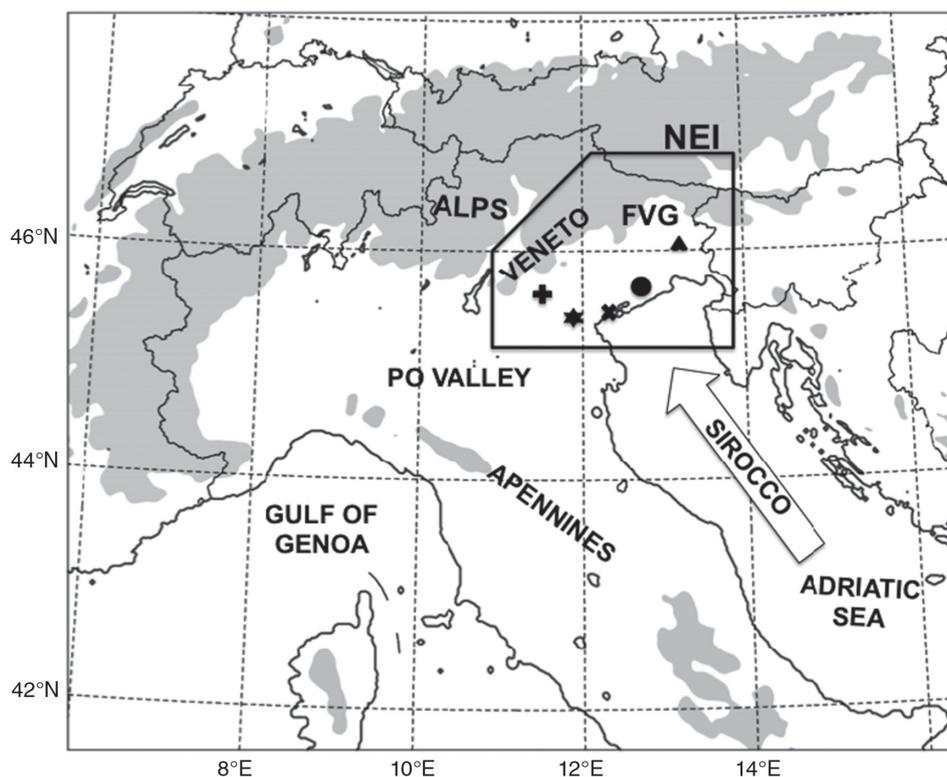
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## 1. Introduction

During autumn 2012, the first field campaign (Special Observation Period SOP1: Ducrocq *et al.*, 2014) of the international project HyMeX (Hydrological Cycle in the Mediterranean Experiment: Drobinski *et al.*, 2014) took place with the aim of studying heavy precipitation and floods in the Mediterranean basin. The north-eastern Italy hydro-meteorological site (NEI – Figure 1) was selected from the various target areas over the Italian territory monitored during the campaign, in

order to specifically investigate Alpine intense rainfall. NEI has the maximum annual-average precipitation over Italy (Frei and Schär, 1998; Isotta *et al.*, 2013) and was also a target site in previous field campaigns (e.g. Mesoscale Alpine Programme, MAP: Bougeault *et al.*, 2001) and projects (e.g. MAP Demonstration Phase – DPHASE: Rotach *et al.*, 2009). Thus, SOP1 represented a sort of continuation of previous experiments, focussing mainly on the finer scales of atmospheric convection.

During autumn, the deepening of Atlantic troughs over the Mediterranean basin and the relatively high sea-surface



**Figure 1.** Area of interest corresponding to the MOLOCH integration domain and indication of the locations mentioned in the text: Venice (cross), Padua (star), Concordia Sagittaria SODAR (dot) and Campoformido (Udine) sounding (triangle). The NEI area including the Friuli Venezia Giulia (FVG) and Veneto regions is also indicated. Model orography above 1500 m is shaded.

temperature combine to create atmospheric conditions conducive to heavy rainfall (Doswell *et al.*, 1998; Massacand *et al.*, 1998; Buzzi and Foschini, 2000; Borga *et al.*, 2007; Manzato *et al.*, 2015). The steep and complex orography of the Alpine area makes floods a common hazard during autumn. Autumn 2012 was no exception in this regard: several intense precipitation episodes affected NEI, which turned out to be the rainiest area of the campaign (Davolio *et al.*, 2015). More than 1000 mm of rainfall were recorded over the Alps of the Friuli Venezia Giulia (FVG) region (see Figure 1 for the location) during the two-month period of SOP1, locally exceeding climatological values for this time of year. Two contrasting, heavy-precipitation events, thoroughly monitored during Intense Observing Periods (IOPs) 18 and 19, particularly drew our attention and prompted this study.

These two IOPs were very close in time and characterised by similar synoptic conditions and intense low-level southeasterly flow over the Adriatic Sea (typically referred to as sirocco wind) impinging on the Alps. However, they produced quite different precipitation patterns. In IOP18 (31 October–1 November) the development of convective systems was responsible for intense rainfall confined to a flat area of the eastern Po Valley, far upstream of the orography and close to the Adriatic coast. In contrast, during IOP 19 (4/5 November) heavy precipitation affected the mountainous Alpine area and only very light rainfall was recorded over the plain.

Different precipitation patterns associated with similar large-scale circulation were already identified and discussed for the northwestern Alps in several studies, fostered by MAP. In particular, the characteristics of two episodes of the MAP field campaign (MAP-IOP2b and MAP-IOP8) were analysed from different perspectives (Bousquet and Smull, 2003; Rotunno and Ferretti, 2003; Rotunno and Houze, 2007). It turned out that the different thermodynamic profile of the impinging flow, and thus its different stability, allowed – or prevented – the low-level air from rising over the Alpine barrier. The different orographic flow regimes – flow over or flow around (Smith, 1979) – determined the location, intensity and characteristics of the precipitation. During MAP-IOP2b heavy orographic rainfall with embedded convection affected the Alpine slopes, while during MAP-IOP8

weak stratiform and long-lasting precipitation was widespread over the Po Valley.

More recently, Barbi *et al.* (2012) provided a detailed description of four convective episodes affecting the coastal area of the Veneto region (part of NEI, Figure 1), far from the Alpine orography. These events occurred in September of four consecutive years and the associated large rainfall totals represented a peculiar feature in the climatology of the region, which is characterised by maximum annual values over the Alps and lower annual values, but with high occurrence of heavy rainfall, over the plain. In accordance with the findings of Monai *et al.* (2006) and Davolio *et al.* (2009b), the authors highlighted the importance of the orographic flow modification: the southeasterly low-level flow from the Adriatic Sea was blocked and deflected ahead of the Alps, resulting in a northeasterly barrier wind over the plain (Schwerdtfeger, 1984; Di Muzio, 2014). This barrier wind converged with the impinging southeasterly flow near the coastal area and this low-level convergence, together with conditionally instability, was responsible for initiating and sustaining organised convection.

Conversely, in the NEI Pre-Alpine and Alpine areas, higher values of annual accumulated precipitation are closely linked to direct orographic uplift of the southerly moisture-laden airflow from the Adriatic Sea, responsible for long-lasting orographic precipitation. Recent flooding episodes over NEI, also mentioned briefly in Barbi *et al.* (2012), fit within this category of events, which includes typical autumnal heavy rainfall affecting the southern side of the Alps.

In the present study, attention is focussed on the period between September and November, when the climatological peak of heavy precipitation is in the northwestern Mediterranean (Ducrocq *et al.*, 2014), and specifically over NEI (Manzato *et al.*, 2016). This peak is caused by the higher frequency of Atlantic storms entering the Mediterranean and aided by additional heat and moisture provided by the relatively warm Adriatic Sea. Over NEI, during this period there is a progressive transition from summer convective weather, associated with conditionally unstable flow, to the so-called flux precipitation (Manzato, 2007) typical of long-lasting, sometimes flood-producing, events along

the southern side of the Alps and associated with near-neutral moist stratification (Miglietta and Rotunno, 2005; Malguzzi *et al.*, 2006).

In addition to IOP18 and IOP19 of the HyMeX-SOP1 mentioned above, other heavy-precipitation episodes displaying similar precipitation patterns and affecting NEI are selected and analysed in this study. The aim is to investigate and better understand possible common thermodynamic mechanisms that modulate the precipitation pattern for the two categories of analysed events and to define analytical parameters able to describe the physical processes associated with the two observed orographic flow regimes.

The article is organised as follows. After a description of the numerical models employed and of the simulation strategy (section 2), the results of a detailed investigation of the events, based on both observations and high-resolution model simulations, are summarised in section 3. Section 4 presents the main findings concerning physical mechanisms playing a key role in different phases of the events. Finally, conclusions are drawn in section 5.

## 2. Model description

The numerical weather prediction (NWP) system employed in the present study is based on the hydrostatic Bologna Limited Area Model (BOLAM) and the non-hydrostatic Modello LOcale in Hybrid coordinates (MOLOCH), developed by the Institute of Atmospheric Sciences and Climate of the Italian National Research Council (CNR – ISAC). The two models are being used operationally at ISAC as part of an agreement with the National Civil Protection Department and also at various Italian national agencies and regional meteorological services. BOLAM and MOLOCH differ in their dynamical core, including a different choice for their vertical coordinate sets, and by the fact that BOLAM includes a parametrization for deep convection, based on a modified version of the Kain–Fritsch scheme (Kain, 2004). In MOLOCH, deep convection is explicitly simulated and a simple shallow convection scheme is applied. BOLAM (horizontal resolution 11 km, 50 vertical levels) is run over a European domain and it is mainly employed to provide lateral boundary conditions for the inner grid (horizontal resolution 2.3 km and 54 vertical levels) of MOLOCH (Figure 1) at 1 h intervals. This intermediate nesting step has proved to be reliable and economical in bridging the gap between the coarse-resolution global model fields (0.25°/6-hourly European Centre for Medium-range Weather Forecasts (ECMWF) analysis data) and the high-resolution forecasts (Buzzi *et al.*, 2014). Therefore only a brief description of the MOLOCH model is provided here. For a description of BOLAM refer to Buzzi *et al.* (2003), Malguzzi *et al.* (2006) and Davolio *et al.* (2013).

MOLOCH is a non-hydrostatic, fully compressible, convection-permitting model (Malguzzi *et al.*, 2006; Buzzi *et al.*, 2014). It integrates the set of atmospheric equations with 12 prognostic variables – pressure, absolute temperature, specific humidity, horizontal and vertical components of velocity, turbulent kinetic energy and five water species (cloud water, cloud ice, rain, graupel and snow) – represented on the latitude–longitude, rotated Arakawa C-grid. It employs a hybrid terrain-following vertical coordinate, depending on air density and smoothing to horizontal surfaces at higher altitudes. Time integration is based on an implicit scheme for the vertical propagation of sound waves, while explicit time-splitting schemes are implemented for integration of the remaining terms of the equations of motion. Three-dimensional advection is computed using the Eulerian weighted average flux scheme (Billet and Toro, 1997). The physical parametrization schemes are common between the two models. The microphysical scheme is based on the parametrization proposed by Drofa and Malguzzi (2004). Atmospheric radiation is computed with a combined application of the Ritter and Geleyn (1992) scheme and the ECMWF scheme

(Morcrette *et al.*, 2008). The turbulence scheme is based on a turbulent kinetic energy – mixing length ( $E - l$ ) order 1.5 closure theory (Zampieri *et al.*, 2005). The soil model uses seven layers and it takes into account the observed geographical distribution of different soil types and soil physical parameters. For a more detailed description of MOLOCH refer to Buzzi *et al.* (2014).

In the present study, the NWP system was applied to six case-studies (Table 1). First, a thorough verification of the simulations was performed in order to verify that the model correctly reproduced the main dynamical features of each event. Model simulations were compared with observations, such as the dense ground-based station networks (which observed near-surface temperature, wind, humidity and precipitation), radiosoundings and operational wind profilers over northern Italy, in order to assess the mesoscale features. The large-scale dynamics was compared against ECMWF analyses. No special observations, except for some additional soundings, were available over the NEI area for IOP18 and IOP19 events. However, it is worth mentioning that the unprecedented collaboration fostered by the HyMeX-SOP1 among research institutions and regional meteorological centres and agencies (Davolio *et al.*, 2015) allowed a fruitful collaboration and sharing of observation databases (national radar composite and rain-gauge network, among others). For the sake of brevity, only the comparisons concerning the total precipitation are presented.

For each case-study, different initialization times for BOLAM and for MOLOCH nesting were tested and the best simulation was chosen as the ‘control run’ to investigate each episode. We made this choice to ensure that the simulation is as close to reality as possible, in terms of location, intensity and evolution of the precipitation, as well as of triggering and organization of the precipitating systems, so as to investigate the physical mechanisms rather than the skill of operational forecasting.

## 3. Heavy precipitation events over NEI

A brief description of the selected events, listed in Table 1, is provided here, based on model simulations and comparisons against available observations. The model simulations are used to investigate the physical mechanisms responsible for the precipitation events in section 4.

From a preliminary analysis, it turned out that all the selected events were driven by similar large-scale conditions (Figure 2), i.e. a synoptic-scale trough extended over the Mediterranean and the low-level flow was southerly, coming from the Adriatic Sea. Moreover, during the initial stage of the events the mesoscale flow features were also similar. The pre-existing cold air over the plain of NEI enhanced the low-level blocking caused by the incoming flow damming up against the Alps (Di Muzio, 2014). This produced a strong deflection (flow around) of the southerly flow by the Alps, causing a barrier wind (Buzzi, 2004). This deflection produced a northeasterly wind at the foot of the Alps, initially preventing the warm air from advancing inland towards the mountains.

The analysis of the events also showed that, after the initial stage, different mesoscale evolutions were responsible for the different precipitation patterns already mentioned (the low-level flow evolution is thoroughly analysed in section 4.2). This led us to separate the events into two main categories. Hereafter we refer to ‘Alpine’ events that were characterised by heavy, widespread rainfall over the Alpine area, associated with uplift of the southerly low-level flow over the orographic barrier, which represents the most frequent case in such situations. In addition to IOP19, two recent heavy-precipitation episodes affecting the Alps were also analysed (Table 1). In contrast, we refer to ‘Upstream’ events that were characterised by intense and almost stationary convective precipitation over the plain upstream of the orography, associated with persistent low-level blocking of the impinging southerly flow. In addition to IOP18, two Upstream events were selected among those discussed in Barbi *et al.* (2012). However, since the interest

Table 1. List of analysed events and their acronyms, initialization time for BOLAM and MOLOCH simulations, accumulated (observed and forecast) precipitation and selected rainfall accumulation period.

Event name (Acronym) Type of event	Initial condition for BOLAM	Initial condition for MOLOCH	Maximum rainfall Observation (simulation) Accumulation period
HyMeX - IOP19 (IOP19) Alpine	4 November 2012 0000 UTC	4 November 2012 0300 UTC	370 (350) mm/30 h 4 November, 0600 UTC–5 November, 1200 UTC
Piancavallo 2012 (P2012) Alpine	10 November 2012 1200 UTC	10 November 2012 1500 UTC	390 (310) mm/24 h 11 November, 0000 UTC–12 November, 0000 UTC
Vicenza 2010 (V2010) Alpine	30 October 2010 1800 UTC	30 October 2010 2100 UTC	600 (600) mm/48 h 31 October, 00 UTC–2 November, 0000 UTC
HyMeX - IOP18 (IOP18) Upstream	31 October 2012 0000 UTC	31 October 2012 0600 UTC	120 (140) mm/24 h 31 October, 1200 UTC–1 November, 1200 UTC
Marghera 2007 (M2007) Upstream	25 September 2007 1200 UTC	25 September 2007 1800 UTC	320 (330) mm/12 h 26 September, 0000 UTC–26 September, 1200 UTC
Mira 2009 (M2009) Upstream	15 September 2009 1800 UTC	16 September 2009 0000 UTC	180 (210) mm/24 h 16 September, 0000 UTC–17 September, 0000 UTC

is placed on orographically modified flow, we have not considered the other two cases included in the study of Barbi *et al.* (2012), which were similar in terms of precipitation distribution but characterised by northeasterly bora winds instead of barrier-type winds.

Generally, simulated rainfall showed a better agreement with the observations for the Alpine (Figures 3 and 4(a)–(c)) rather than the Upstream events (Figures 3 and 4(d)–(f)). The direct orographic uplift, which represents a fairly large-scale forcing, is probably better simulated by the model than the local triggering of convection, and may thus account for the larger degree of predictability.

### 3.1. IOP19

By 4 November 2012, an upper-level trough extended from the Scandinavian peninsula to the Atlantic Ocean, with a surface pressure minimum close to Ireland. The Mediterranean was affected by intense southwesterly flow in the middle troposphere, while a warm conveyor belt ahead of the cold front advected warm air towards the Italian peninsula (Ferretti *et al.*, 2014). At the surface, the development of a shallow cyclone over the Gulf of Lion, progressively moving towards northern Italy, favoured intense low-level warm and moist southerly flow over the Adriatic Sea. The synoptic pattern (Figure 2(a)) evolved slowly during 4 and 5 November, due to the presence of a pressure ridge over eastern Europe, and was associated with intense precipitation over NEI (Figure 3(a)), especially in the areas close to the Slovenian border, where a maximum of 370 mm in about 24 h was observed. While the precipitation was very weak over the plain, intense rainfall, exceeding 200 mm in 24 h, was recorded over a wide area of the Alps. However, only a few lightning strikes were detected indicating that the precipitation was mainly stratiform/orographic in nature. This is also supported by low convective available potential energy (CAPE) values, and moderate vertical motion was only attained during the final phase of the event, as indicated by both model simulations and data from the Campofornido (Udine) radiosounding (location in Figure 1). MOLOCH correctly simulates the rainfall distribution (Figure 4(a)), although it slightly underestimates the orographic rainfall. Also, the hourly rainfall evolution is in good agreement with radar estimates (not shown), with the heaviest precipitation occurring in the evening over the north-easternmost sector of the NEI Alps. The model simulates a slightly faster passage of the cold front over the area, thus sweeping away the precipitation system about 2 h earlier than observed.

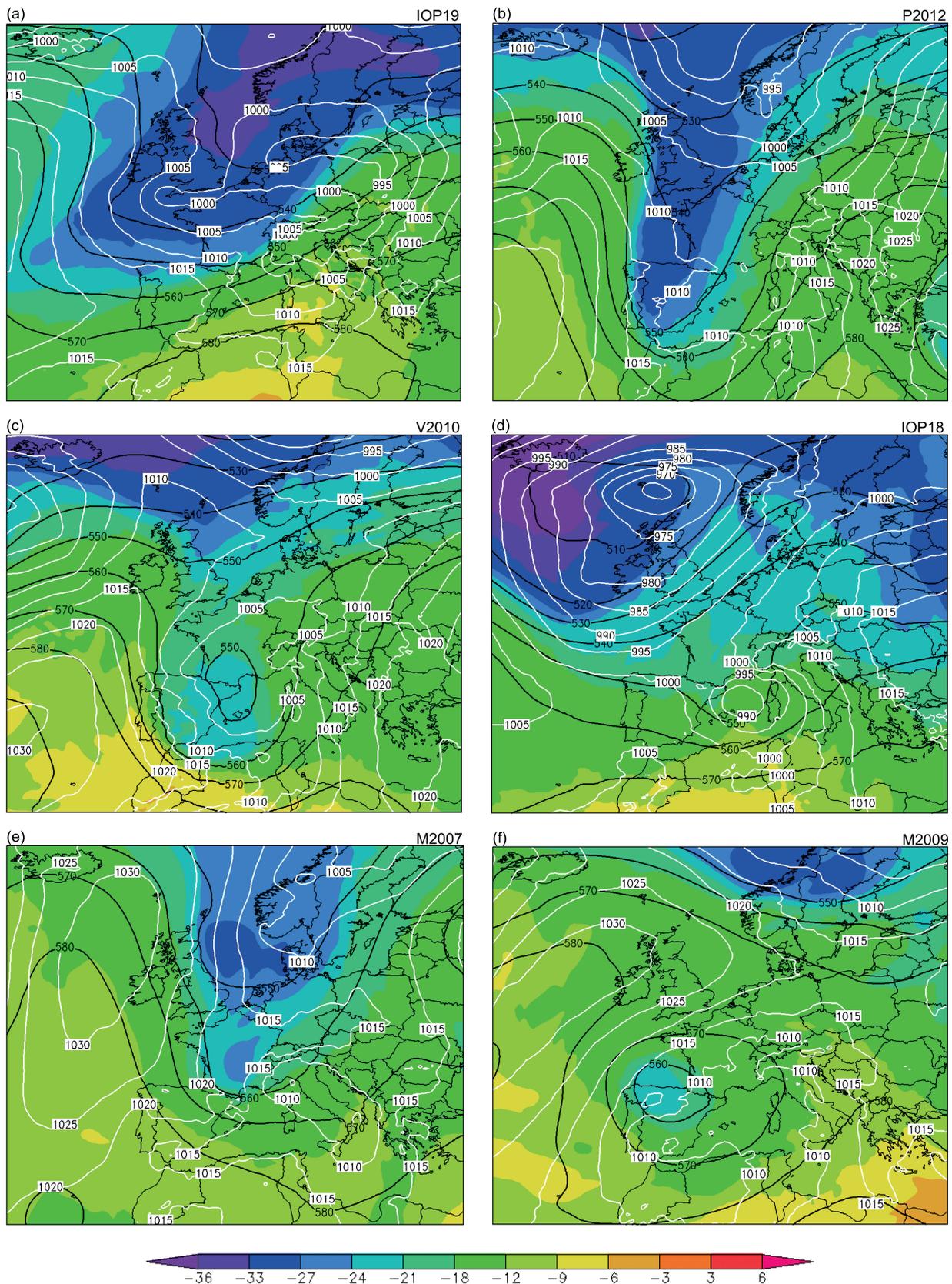
On the mesoscale, it is worth mentioning that in the initial phase of the event, cold air was present over the plain (average  $\theta_e$  was 313 K close to the ground), and a barrier wind developed ahead of the Alps, due to the westward deflection of the southerly wind coming from the sea, as predicted by MOLOCH and confirmed by wind measurements taken just inland of the Adriatic coast (not shown). The inflow from the sea was initially forced to rise over the cold air. Later, while increasing its intensity, the southerly flow was characterised by a gradual transition from flow-around to flow-over conditions, associated with the removal of the cold air at the base of the orography. The uplift was then directly forced by the passage over the Alps, where most of the precipitation occurred (Figure 3(a)).

### 3.2. Piancavallo 2012

Between 10 and 11 November 2012, just a few days after the end of the SOP1, a trough deepened over the Iberian peninsula (Figure 2(b)), reaching northern Africa and activating intense warm and moist southwesterly flow over the central Mediterranean. The eastward evolution of the trough was very slow and a cut-off low eventually formed over Spain. The low-level moist flow over the Adriatic Sea produced heavy rainfall (almost 400 mm in 24 h) over the Pre-Alpine area (Figure 3(b)), between Veneto and FVG regions, while precipitation was light over the plain. MOLOCH correctly simulates the orographic precipitation (Figure 4(b)) although the maximum accumulation is underestimated by about 20% (310 instead of 390 mm in 24 h at Piancavallo, over the FVG Alps; Table 1). Similar to the IOP19 event, the sirocco wind, initially deflected ahead of the Alpine barrier, progressively penetrated inland, gently rising over the Alps. Again, this wind pattern is confirmed by SONIC Detection and Ranging (SODAR) wind profile measurements (not shown). During the most intense phase of the event, MOLOCH simulates vertical velocities of a few metres per second within the precipitation system, indicating the probable development of embedded convection.

### 3.3. Vicenza 2010

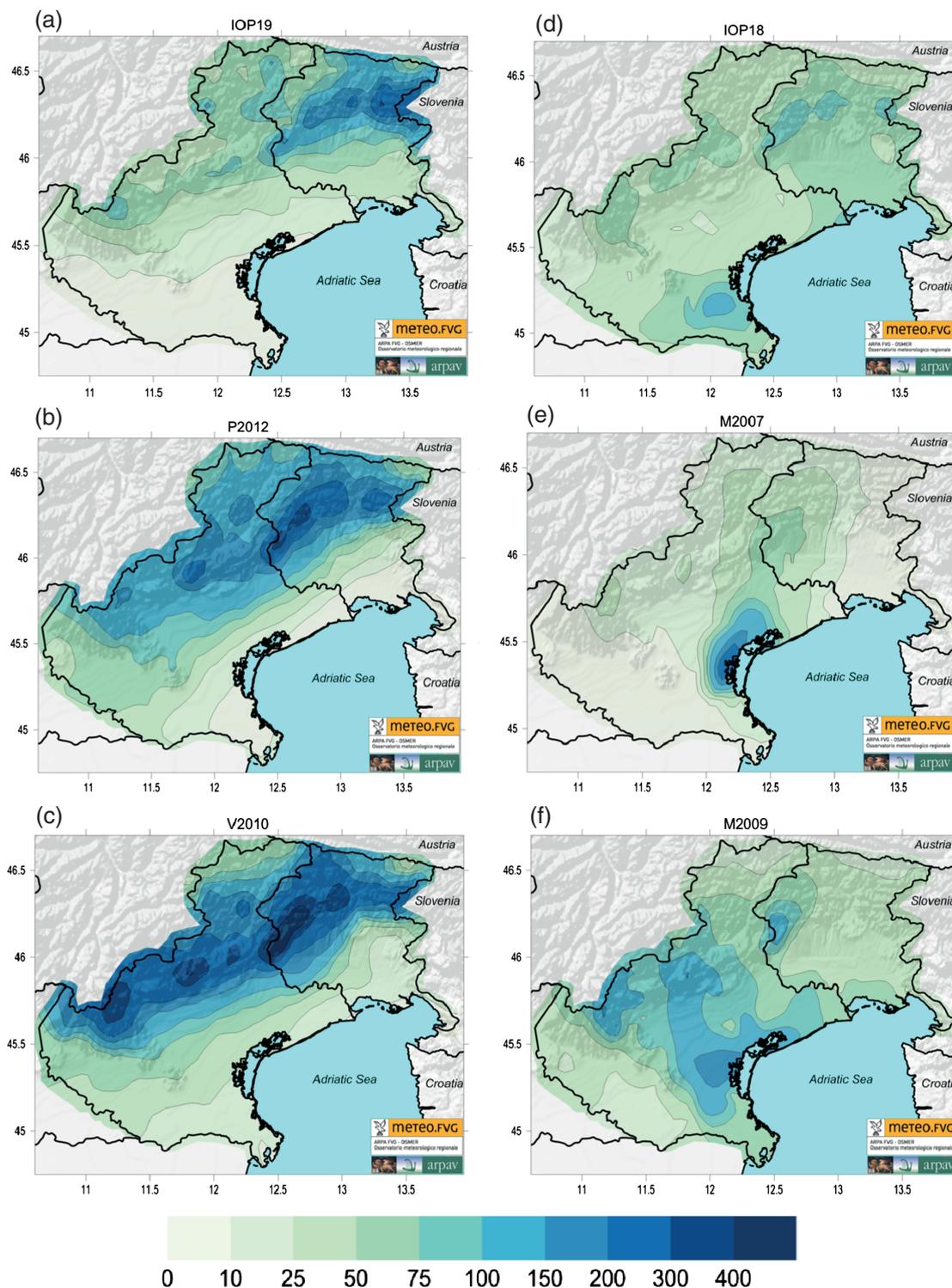
This event was a long-lasting episode of intense precipitation, leading to a major river flood in the city of Vicenza (location in Figure 1). After weak rainfall during the morning of 31 October 2010, moderate to heavy precipitation developed over the Pre-Alpine area, persisting into 1 November, while only weak rainfall affected the plain. As in the previous cases, rainfall was associated



**Figure 2.** Synoptic situation for the six events (ECMWF IFS analyses). Geopotential height at 500 hPa (dam, black lines), sea-level pressure (hPa, white lines) and temperature at 500 hPa ( $^{\circ}\text{C}$ , shading). (a) IOP19, 5 November 2012 at 0600 UTC; (b) Piancavallo event, 11 November 2012 at 0600 UTC; (c) Vicenza event, 1 November 2010 at 0000 UTC; (d) IOP18, 31 October 2012 at 1200 UTC; (e) Marghera event, 26 September 2007 at 0600 UTC; (f) Mira event, 16 September 2009 at 1200 UTC.

with a deep trough over the Mediterranean basin (Figure 2(c)), evolving into a cut-off low over the Gulf of Lion in the final phase. A reinforcing ridge over eastern Europe slowed the eastward progression of the trough, thus favouring the stationarity of the intense low-level sirocco wind over the Adriatic Sea and the persistence of precipitation. The location of intense rainfall was strictly correlated with orographic features along a WSW–ENE

direction over the Pre-Alps (Figure 3(c)) and the pattern did not change throughout 31 October and 1 November. The most intense phase of the event was characterised by rainfall reaching 460 mm in 48 h in Veneto and about 600 mm in 48 h in FVG. However, considering the whole 72 h period of rainfall, more than 700 mm were recorded in FVG and more than 500 mm in several stations in the Veneto region. As shown in Figure 4(c), MOLOCH



**Figure 3.** Observed precipitation (mm), obtained by interpolation of data provided by the dense networks of Veneto (about 170 rain-gauges) and FVG (about 260 rain-gauges) regional meteorological agencies, for the six events. (a) IOP19, 30 h accumulated precipitation at 1200 UTC, 5 November 2012; (b) Piancavallo event, 24 h accumulated precipitation at 0000 UTC, 12 November 2012; (c) Vicenza event, 48 h accumulated precipitation at 0000 UTC, 2 November 2010; (d) IOP18, 24 h accumulated precipitation at 1200 UTC, 1 November 2012; (e) Marghera event, 12 h accumulated precipitation at 1200 UTC, 26 September 2007; (f) Mira event, 24 h accumulated precipitation at 0000 UTC, 17 September 2009. The regional border of Veneto and FVG regions are also plotted.

correctly simulates the precipitation pattern, displaying intense rainfall over the Alps. The hourly evolution (not shown) is properly simulated, with increasing rain rate in the morning of 1 November, possibly associated with embedded convective activity, and rainfall progressively weakening in the afternoon, eventually becoming scattered. Also in this case, the initially weak low-level southeasterly flow from the Adriatic Sea was blocked and deflected as a barrier wind, but as it increased in intensity, it was able to flow over the Alps. This feature is confirmed by SODAR wind profile observations (Figure 5(a)) taken in Concordia Sagittaria close the Adriatic coast (location in Figure 1).

### 3.4. IOP18

On the morning of 31 October 2012, a secondary cyclone developed over Spain, embedded in a larger cyclonic circulation centred north of the British Isles. This low-pressure system moved eastward over the Gulf of Lion reaching Corsica in the afternoon (Figure 2(d)) and inducing low-level southeasterly moist unstable flow over the Adriatic area, impinging on the Alps, and southwesterly flow aloft. In contrast to the previous events, here the low-level intense currents over the Adriatic basin were not able to pass over the Alps during the whole event and the persisting convergence line between the sirocco wind and

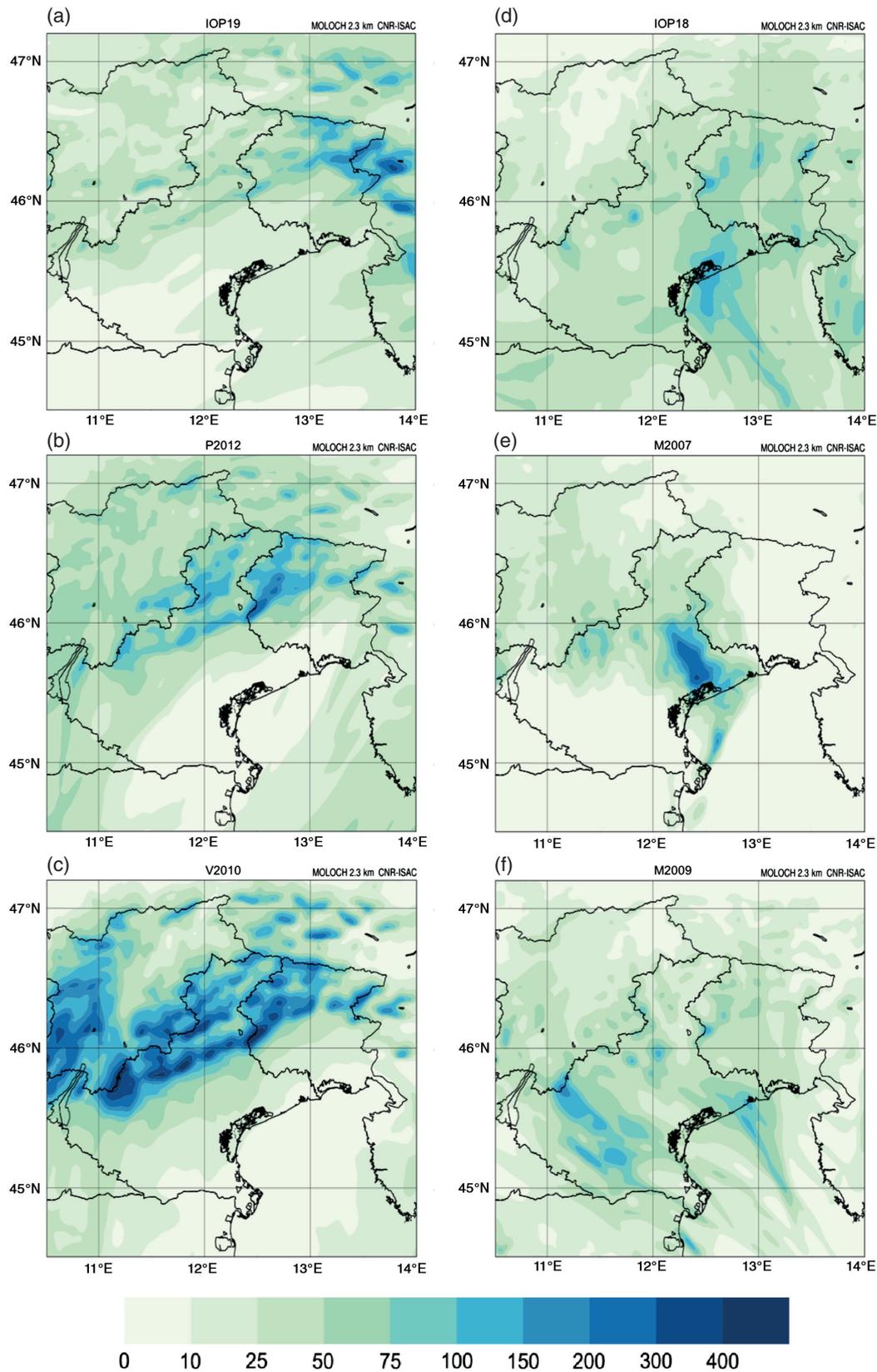
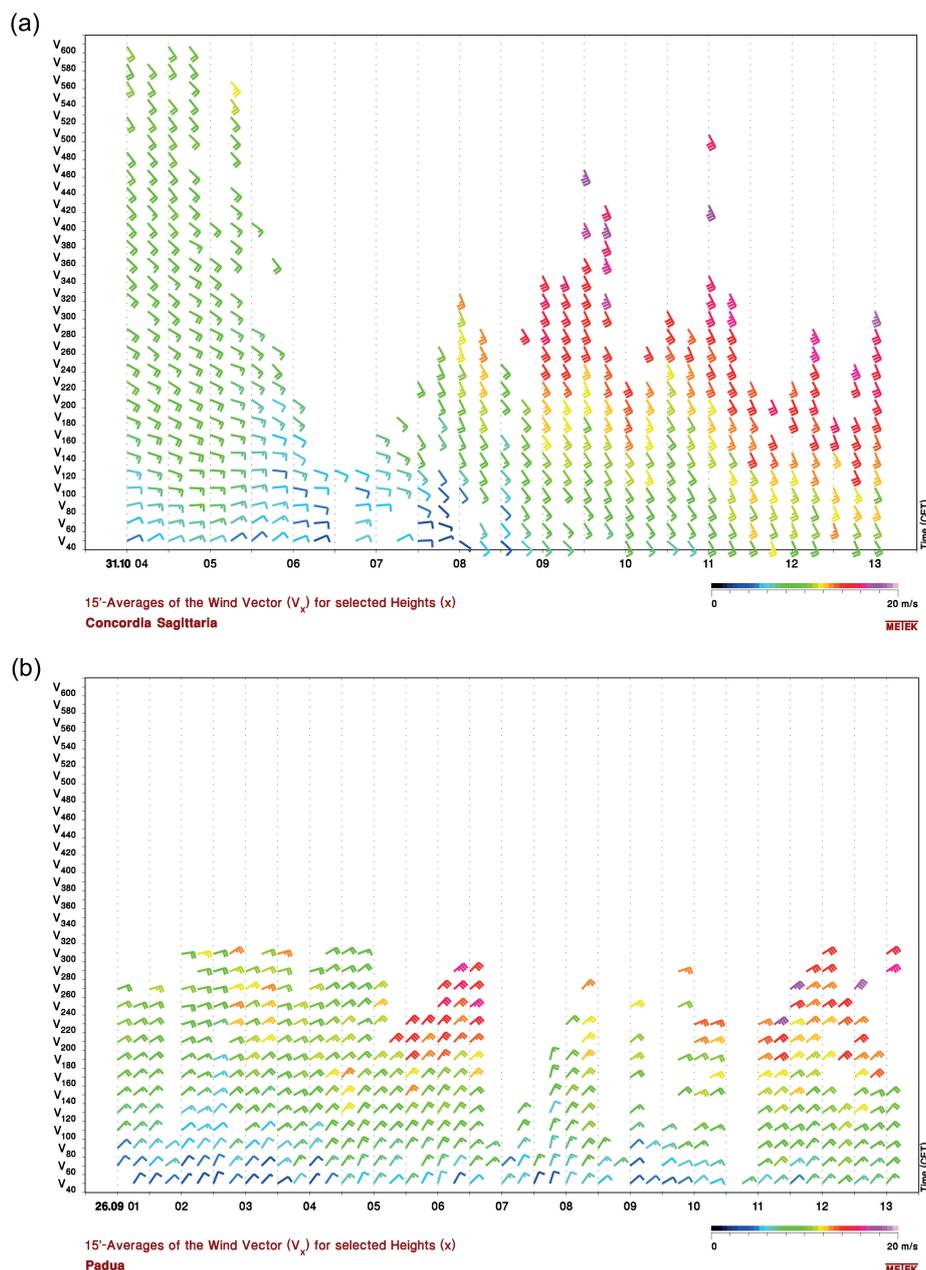


Figure 4. (a–f) As in Figure 3, but for MOLOCH forecasts.

the barrier wind focussed the convective activity over the Veneto plain, just north of the Po river outlet. Radar images (not shown) revealed that initially the rainfall was produced in the early afternoon of 31 October by convective systems triggered over the Adriatic Sea and then advected inland over the Po Valley. This phase of the event is reproduced with some delay in MOLOCH, which simulates intense rainfall over the plain only after 1700 UTC. Later in the evening of 31 October, almost stationary convection developed over the plain, related to the convergence line. Although the complete picture of simulated rainfall is

affected by a significant error in the location (Figure 4(d)), the simulation captures correctly the dynamical evolution of the low-level flow and of the convergence line, as well as the total rainfall. Therefore, the model reproduces the convective activity and its stationarity, but slightly later than observed. In less than 12 h, intense precipitation exceeding 120 mm affected a restricted area of NEI (Figure 3(d)). For some rain-gauge stations the return period of the event was calculated to be longer than 50 years. However, the rapid eastward progression of the cyclone moved the precipitation away. Observation revealed that wind gusts over



**Figure 5.** (a) High-resolution SODAR wind data ( $\text{m s}^{-1}$ ) up to 600 m at Concordia Sagittaria between 0300 and 1200 UTC, 31 October 2010 (V2010 event). (b) High-resolution SODAR wind data up to 300 m at Padua between 0000 and 1200 UTC, 26 September 2007 (M2007 event). Note that the times reported on the x-axis are in Central European Time, corresponding to UTC + 1 h.

the Adriatic exceeded  $80 \text{ km h}^{-1}$  (not shown) and a major storm surge affected the NEI coast.

### 3.5. Marghera 2007

This event, thoroughly described and investigated in Davolio *et al.* (2009b) and Rossa *et al.* (2010), was the most intense rainfall episode that occurred during the operational period of the MAP-DPHASE project (Rotach *et al.*, 2009). It was responsible for a severe, although localised, flood over a flat area near Venice (location in Figure 1) on 26 September 2007. It was associated with a stationary mesoscale convective system (MCS) that developed west of the Venice lagoon (Barbi *et al.*, 2012), and took a V-shape in infrared satellite imagery (Setvák *et al.*, 2013). More than 320 mm fell in less than 12 h (Figure 3(e)), of which more than 240 mm fell in only 3 h. An upper-level trough, deepening over France and the Mediterranean while approaching the western Alps (Figure 2(e)), favoured orographic cyclogenesis over the Gulf of Genoa and produced a southwesterly diffluent flow over NEI. The orographically induced cyclone enhanced the southeasterly low-level jet over the Adriatic Sea, which was deflected in front of

the Alpine barrier. The MCS was triggered along the convergence line between the sirocco wind and the barrier wind. MOLOCH simulates scattered convective activity in the early morning, turning into organised convection able to produce large rain rate up to  $180 \text{ mm h}^{-1}$  close to the Venice lagoon (Figure 4(e)). Low-level convergence, stationary convection, as well as the orientation of the V-shape structure of the MCS are correctly simulated. Differences between the structure of the observed and forecast rainfall fields remain within the expected variability for this particular event, as discussed in Davolio *et al.* (2009a). Finally, the persistence of blocked-flow conditions and of the barrier wind over the NEI plain is confirmed by SODAR observations (Figure 5(b)) taken close to the city of Padua (location in Figure 1).

### 3.6. Mira 2009

On 16 September 2009 the synoptic circulation was characterised by a cyclonic disturbance over the western Mediterranean basin (Figure 2(f)) and southwesterly flow in the middle troposphere over NEI. The cut-off cyclone centred between Spain and France induced moist southeasterly flow in the lower levels over the

Adriatic Sea. Again, the interaction between the northeasterly barrier wind in front of the Alps and the sirocco wind produced a convergence pattern able to trigger convective activity over the Veneto plain, close to the Venice lagoon. In the early morning convective cells moved from the Adriatic Sea towards the Pre-Alpine ridge and later became more stationary, regenerating along the coastal area. Around 1200 UTC, a stationary MCS developed producing intense rainfall. This evolution explains the precipitation pattern shown in Figure 3(f) characterised by a main maximum of about 180 mm in 24 h close to the coast and weaker localised maxima over the Pre-Alps. The MOLOCH simulation of this event is not completely satisfactory (Figure 4(f)), but reproduces the most intense phase of stationary convection around 1200 UTC, which is the most relevant aspect for this study. Indeed, a rainfall maximum is simulated over the plain, although displaced southwestward and less intense than the maximum over the orography.

#### 4. Physical mechanisms

##### 4.1. Theoretical framework

The cases summarised in section 3 are characterised by the interaction of moist and warm flow with a mountain ridge. Before presenting a detailed investigation of the events in terms of physical mechanisms and numerical parameters, a brief review of the main scientific achievements in the field of orographically modified flow and orographic precipitation is provided, highlighting the framework and motivation of the present study.

Idealized studies of stably stratified dry flows impinging on a mountain ridge revealed the Froude number ( $Fr = U/(N \cdot h)$ ) where  $U$  is a measure of the wind speed,  $h$  is a typical height of the mountain and  $N$  is the static stability) as the main parameter able to discriminate between a 'flow-over' regime, when the flow passes over the ridge without being significantly deflected ( $Fr > 1$ ), and a 'flow-around' regime, when the flow is blocked in the lower layers and deflected by the ridge ( $Fr < 1$ ) (Smolarkiewicz and Rotunno, 1990). Pierrehumbert and Wyman (1985) evaluated the upstream influence of the orography and showed that upstream blocking and flow diversion in a rotating atmosphere is strictly connected with barrier winds that are generated as a consequence of the damming of low-level stable air. This aspect is particularly relevant as a theoretical support for the observed phenomenon of barrier wind over NEI described in section 3.

The introduction of moisture, which modifies the 'effective' static stability  $N$  of the air at saturation and hence the dynamical response to orographic forcing, depending on when and where condensation occurs, may favour the flow-over regime (e.g. Miglietta and Buzzi, 2004). In the last decade, attention has been mainly focussed on conditionally unstable moist flow and on regimes of convection propagation in presence of orography, pointing out the limited applicability of  $Fr$  in such conditions. Chen and Lin (2005) and Miglietta and Rotunno (2009) found that  $Fr$  taken alone is unsuitable to explain the precipitation distribution in a convective environment. Thus, Miglietta and Rotunno (2009, 2010) introduced several non-dimensional parameters to account for orographic triggering of convection in conditionally unstable flow and subsequent interactions between the environmental flow and the convective cold pool. In particular, the parameter  $h_m/LFC$ , namely the ratio between ridge height ( $h_m$ ) and the altitude of the level of free convection (LFC), was suggested to quantify the likelihood that orographic lifting is sufficient to initiate convection.

In addition to idealised simulations, important results came also from case-study analyses, mostly fostered by field campaigns (Rotunno and Houze, 2007). Buzzi and Foschini (2000) and Rotunno and Ferretti (2001, 2003) identified important aspects of Alpine heavy-rainfall episodes, both at the synoptic- and meso-scale, like the presence of low-level jets and along-ridge

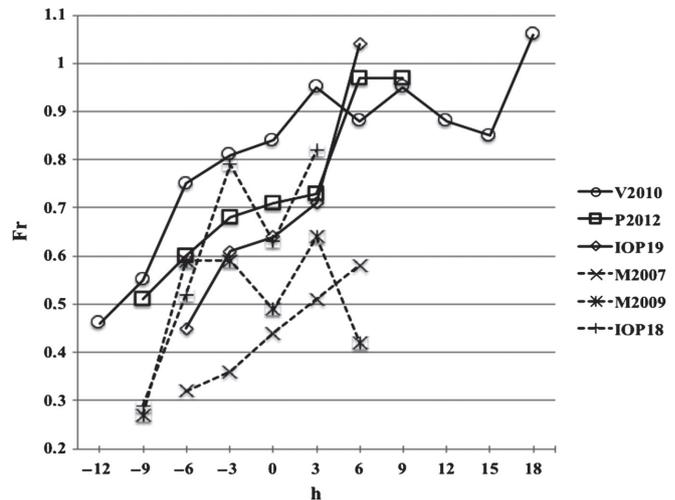


Figure 6. Evolution of the Froude number  $Fr$  for the six heavy-precipitation events over the Alps. Hours  $h$  on x-axis are relative to the initiation of intense precipitation. Dashed (solid) lines are for the Upstream (Alpine) events.

gradients of relative humidity able to influence flow ascent through condensation and latent heating. More recently, the dynamics of a low-level moist jet interacting with a complex-shaped ridge has been studied by Nuissier *et al.* (2008) and Bresson *et al.* (2009, 2012). They showed that the location of convection triggering and the characteristics of the MCS, in terms of stationarity and accumulated rainfall, depend on different environmental parameters, such as ridge shape, low-level horizontal wind and humidity profiles, CAPE and upstream cold-pool features. Finally, the characterisation of the environment associated with heavy precipitation, in particular concerning the thermodynamic properties of the low-level jet, has been also explored through a climatological approach (Rudari *et al.*, 2004; Ricard *et al.*, 2012).

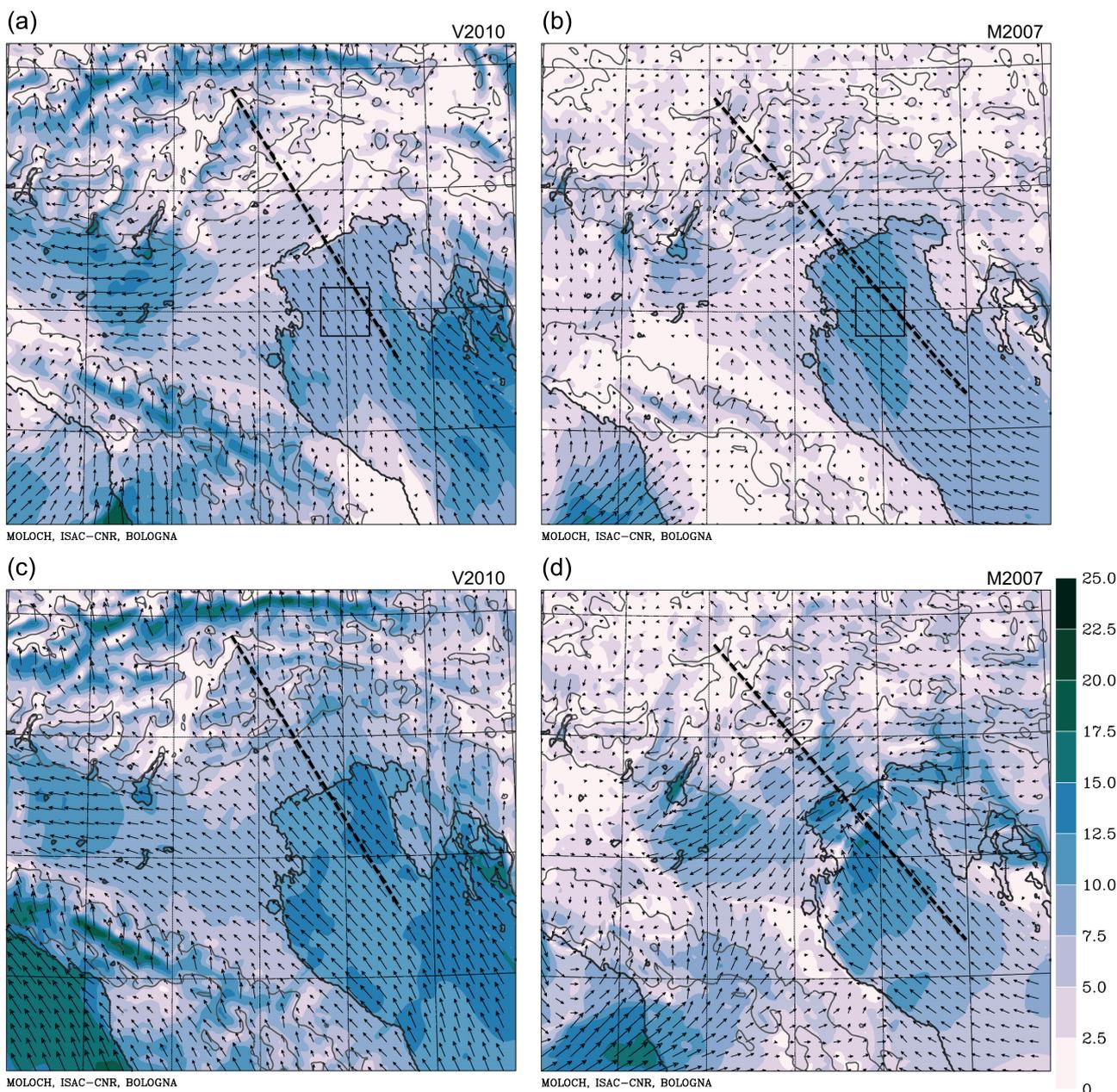
In analogy with the above-mentioned findings, we tried to apply the theoretical results to the observed cases, in order to identify parameters able to describe the different behaviour observed for Upstream and Alpine events. However, it is worth bearing in mind that in real case-studies the environment is more complex than in idealized experiments.

##### 4.2. Overview of the dynamical evolution

The dynamical characteristics of the flow interacting with the Alpine orography are preliminarily investigated computing the moist  $Fr$  using a vertical profile obtained as an average over an area of about  $50 \times 50$  km centred at  $45^\circ\text{N}$ ,  $13^\circ\text{E}$  (shown in Figure 7). This area, located in the middle of the northern Adriatic Sea, is chosen to sample the mesoscale incoming flow upstream of the orography.  $Fr$  is computed every 3 h, starting from the onset of impinging flow and until the flow in the area can be considered not yet perturbed by the interaction with the orography or by the occurrence of intense rainfall. However, several drawbacks emerge preventing us from the possibility of identifying clearly the two separate classes of events using  $Fr$ . In fact, in addition to the known difficulties arising in  $Fr$  computation in the case of conditionally unstable flow (Miglietta and Rotunno, 2009), even for almost stratified flow as in the Alpine events, results do not completely meet expectations.  $Fr$  is computed using  $h = 2000$  m for orography height, averaging the meridional component of the wind over the model levels up to this height, and evaluating the flow stability as suggested in Barrett *et al.* (2014):

$$N^2 = \frac{g}{\theta_t} \frac{\theta_t - \theta_b}{h},$$

where  $g = 9.81 \text{ m s}^{-2}$  while  $\theta_b$  and  $\theta_t$  are respectively virtual potential temperature at the lowest model level and at the model

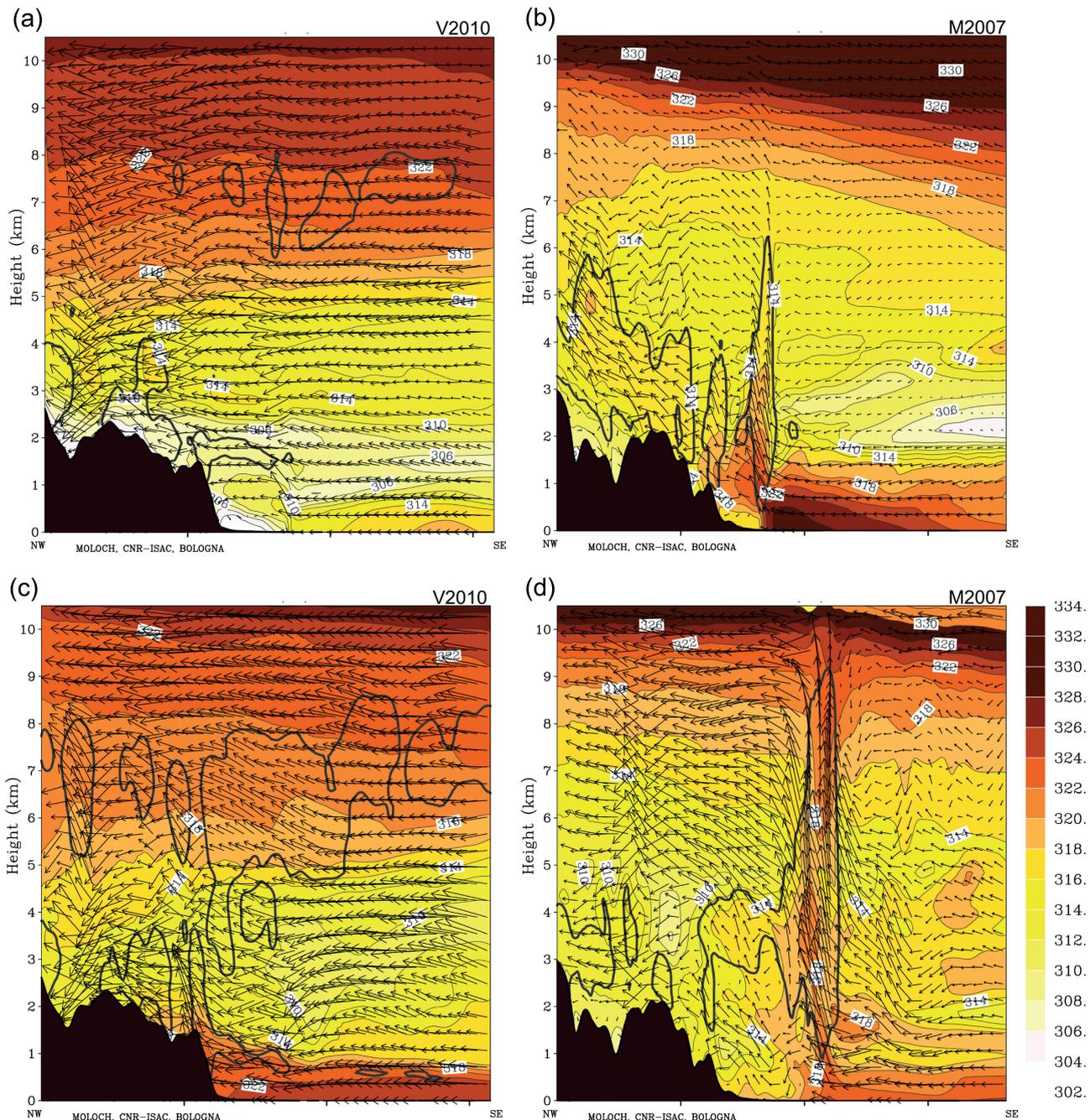


**Figure 7.** MOLOCH 10 m wind ( $\text{m s}^{-1}$ , shading) during the initial stage of the events, when the sirocco wind is blocked by the orography and deflected as barrier wind ahead of the Alps: (a) Vicenza event, 31 October 2010, 0300 UTC, and (b) Marghera event, 26 September 2007, 0400 UTC. The black dashed lines indicate the location of the vertical cross-sections shown in Figure 8. The black square indicates the area where averaged vertical profiles are computed. During the precipitation stage of the events: (c) flow-over condition for the Vicenza event, 31 October 2010, 1800 UTC, and (d) persisting blocked-flow condition for the Marghera event, 26 September 2007, 1200 UTC. Note that only a portion of the MOLOCH integration domain is shown. Grey contours show the 500 m and 1500 m orography elevations.

level corresponding to about 2000 m.  $Fr$  values barely exceed one (Figure 6), as would be expected in the case of a flow-over regime. However, a more detailed analysis reveals a strong horizontal gradient of  $Fr$  values across the Adriatic basin for the Alpine events, and thus a strong sensitivity to the selected area of computation. In particular,  $Fr$  values much larger than unity are found on the eastern side of the Adriatic basin, while values lower than unity characterise the flow close to the Italian coast. Thus, instead of considering the absolute  $Fr$  value, whose computation may be somewhat arbitrary, the attention is focussed on its evolution in time. Figure 6 shows that for all the three Alpine events  $Fr$  steadily and substantially increases during the event. This is consistent with blocked-flow and barrier wind conditions at the beginning of the event and a gradual change towards a flow-over regime, starting from the easternmost part of the Alps, as simulated by MOLOCH and confirmed by available observations (soundings and wind profilers, e.g. in Figure 5(a)). On the other hand, for the Upstream events and especially for the M2007 and M2009 cases,  $Fr$  values remain low, hardly exceeding 0.6. The exceptionally

strong wind attained during IOP18 is responsible for a temporary increase of  $Fr$ , although not higher than 0.8. However, in this latter case, the low-level wind is also steered by the mesoscale cyclonic circulation that forces its rotation from the Adriatic Sea into the Po Valley, thus favouring the blocking effect of the Alps. It is also worth noting that  $Fr$  for the Alpine events is generally larger than the value obtained for the Upstream events, thus suggesting a different flow regime. Therefore, although the absolute value of  $Fr$  does not provide critical information, relative values between different events seem to be able to describe different dynamical characteristics of the analysed events.

Keeping in mind these results and the theoretical background briefly described in section 4.1, the analysis is focussed on two different phases of the events: (i) the triggering phase, being the initial period characterised by the possible development of convective cells and precipitation initiation, and (ii) the precipitation phase, when the precipitating system is well developed. For the sake of brevity and clarity, most of the results are presented only for two events, representative of the



**Figure 8.** MOLOCH vertical cross-sections along the black line indicated in Figure 7. Equivalent potential temperature (thin contour line and shading, interval 2 K), tangent wind component (vectors) and cloud water and ice (thick contour indicating  $0.1 \text{ g kg}^{-1}$ ) for: Vicenza event (cross-section length 314 km), (a) 31 October 2010, 0300 UTC and (c) 1 November 2010, 1000 UTC; Marghera event (cross-section length 363 km), 26 September 2007, (b) at 0400 UTC and (d) at 1200 UTC.

two categories: V2010 for Alpine events and M2007 for Upstream events.

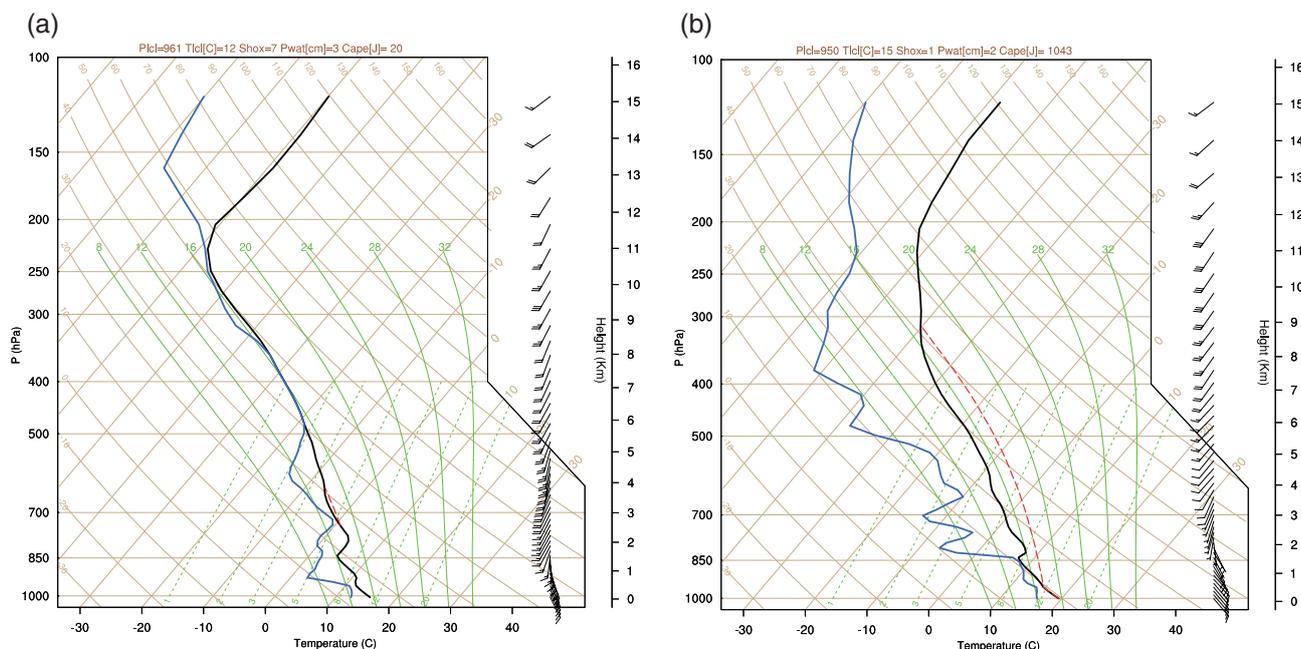
#### 4.3. The triggering phase

During the initial phase of both events, the low-level wind field (Figure 7(a,b)) displays a similar pattern, characterised by blocked flow upstream of the Alps, possibly enhanced by the presence of pre-existing cold air (Pierrehumbert and Wyman, 1985), producing a northeasterly barrier wind over the NEI plain and over the Po Valley. The convergence between the barrier wind and the sirocco wind from the Adriatic Sea clearly show up in Figure 7 as a narrow zone of low wind speed. However, the consequence of this convergence is quite different, as shown by the cross-sections drawn along the direction of the impinging flow. On one hand, for the V2010 case (Figure 8(a)) the moist southeasterly flow gently rises over the cold layer located at the foot of the Alps where the barrier wind is blowing, before being further lifted over the orography. No precipitation is associated with the

uplift during this phase. On the other hand, in the M2007 event (Figure 8(b)) convection is triggered in correspondence with the ascent over the barrier wind cold layer and intense precipitation is suddenly produced.

This different behaviour has a critical impact on the following dynamical evolution, when the intensity of the impinging flow increases in response to the approaching synoptic disturbance. As shown in Figure 7(c), in V2010 southerly flow progressively penetrates into the plain, the cold barrier wind layer disappears and the flow eventually passes over the Alps. All over the eastern Alps the 10 m wind field displays a clear flow-over pattern in response to increasing wind speed, while the flow stability remains nearly constant. Consequently, precipitation affects mountainous areas where uplift and condensation occur. The cross-section (Figure 8(c)) also indicates some small-scale and intense vertical motions over the orography, possibly due to embedded convective activity that locally enhances rainfall intensity.

Conversely, the convergence pattern along the coastal area persists in M2007 (Figure 7(d)). The blocking conditions persist,



**Figure 9.** Vertical skew  $T/\log P$  thermodynamic profiles simulated by MOLOCH during the initial stage of the events and computed as an average over an area of about  $15 \times 15$  km, for (a) Vicenza event, 31 October 2010, 0300 UTC, at  $45.1^\circ\text{N}$ ,  $12.9^\circ\text{E}$ , and (b) Marghera event, 26 September 2007, 0400 UTC, at  $45^\circ\text{N}$ ,  $13^\circ\text{E}$ .

consistent with the result of the  $Fr$  analyses, the low-level flow does not penetrate inland and deep convection intensifies (Figure 8(d)), fed by moist and warm air from the Adriatic Sea. This resembles the blocked-flow condition considered as the most favourable for heavy rainfall rates in the idealised experiments shown in Miglietta and Rotunno (2014) and in Davolio *et al.* (2009a), characterised by a convective cold-pool propagation nearly countered by the environmental wind. The role of the evaporative cold pool is further discussed in section 4.5.

Now that the general physical mechanisms have been described, a more detailed thermodynamic analysis concerning the initial triggering phase of the events is performed. First, vertical thermodynamic profiles are analysed (Figure 9(a,b)). Profiles are not computed for a single grid point but as an average over an area of about  $15 \times 15$  km over the northern Adriatic Sea, almost contained in the area used for computing  $Fr$ . Using an upstream area gives a better sampling of undisturbed flow characteristics before its interaction with the orography and with the cold pool producing uplift and possibly triggering convection. Profiles are computed for the initial phase of the events, when convection is possibly being triggered but intense precipitation has not started yet. These profiles allow for the evaluation of the LFC height and CAPE (using the most unstable parcel) and several indices related to stability (Tables 2 and 3). Similarly, vertical profiles over the NEI plain, together with the analysis of cross-sections similar to those presented in Figure 8, provide the estimate of  $h_b$  as the top of the layer characterised by stable stratification and northeasterly wind. This layer is found to be quite shallow, with its depth always being lower than 800 m.

Although it is possible to identify an LFC located at a relatively high elevation, around 1000 m, the profile for V2010 (Figure 9(a)) shows a nearly neutral moist vertical stratification of the air mass, even stable in the lower layers and with very low CAPE values (below  $50 \text{ J kg}^{-1}$ ). This is a common characteristic among the Alpine events, with the LFC being even higher in IOP19. The wind veers by about 45 degrees over the lowest 2 km. The moisture content is already high at the beginning of the simulation and the air column becomes saturated quite quickly during the first day of the event (not shown), without relevant changes in stability properties. On the other hand, for M2007 (Figure 9(b)) and similarly for M2009 (not shown) the atmosphere is conditionally unstable, close to saturation in the lowest layers and much drier above 850 hPa where the wind direction abruptly changes from southeasterly to southwesterly. The LFC is fairly low, being located

below 500 m and the value of CAPE is relevant, greater than  $1000 \text{ J kg}^{-1}$ . It is worth mentioning that the vertical profile for IOP18 (not shown) is also characterised by a low LFC. However, moisture is higher and more uniform all over the troposphere and CAPE is lower and mainly concentrated in the lower layers, as usually observed for autumn events with respect to late summer cases.

The described behaviour is also in agreement with positive values of the Lifted Index (Galway, 1956) ( $LI > 2.2 \text{ K}$ , in Table 2) found for the Alpine events, which indicate stable conditions during the initial phase of the event. For the Upstream events (Table 3) potential instability is instead present. This is especially true for the M2007 and M2009 events, which have a negative LI, but also for IOP18, even if the LI indicates nearly neutral conditions (0.5 K). Indeed, referring to a climatological statistical analysis of convective events over the FVG area (Manzato, 2003), an LI of  $+0.64 \text{ K}$  represents the discriminant value to classify convection development in the FVG plain.

While it is evident that deep convection cannot develop in the initial stage of the Alpine events, the Upstream events deserve a further analysis of the triggering phase. Among the relevant parameters modulating rainfall rate and location for a conditionally unstable flow over a ridge, Miglietta and Rotunno (2009) suggested using the ratio of mountain height to the level of free convection ( $h_m/LFC$ ). This parameter evaluates whether the uplift forced by the mountain is sufficient to allow air parcels to reach their LFC and thus to trigger convection. In the present analysis the same idea is adopted, but considering the cold layer with barrier wind, instead of the mountain, as the 'obstacle' providing the initial uplift forcing. A similar approach was used by Mazòn and Pino (2013) in their analysis of convective cloud bands developing near the Mediterranean coasts. In other words, this cold layer located upstream of the orography, and characterised by the barrier wind, acts as an 'effective mountain' (Lin *et al.*, 2005) of height  $h_b$ .

Values of  $h_b/LFC$  computed for the three Upstream events during the triggering phase are close to or greater than unity, consistent with the fact that convection is triggered where the impinging and barrier flows meet. For IOP18, besides the main rainfall episode that occurs in the late evening (associated with the highest value of  $h_b/LFC$ ), an interesting convective phase in the morning is also considered, when shallow convective activity developed over the Adriatic Sea in correspondence with the aforementioned convergence line. Also in this case  $h_b/LFC$  is

Table 2. Summary of parameters computed for the triggering phase for the three Alpine events.

Alpine event	Analysed time	Initiation of intense precipitation	CAPE ( $\text{J kg}^{-1}$ )	Lifted Index (K)	$h_b$ (m)	$U$ ( $\text{m s}^{-1}$ )
IOP19	4 November 2012 1500 UTC	4 November 2012 1800 UTC	100	2.2	480	13.2
P2012	10 November 2012 1800 UTC	11 November 2012 0000 UTC	3	4.6	500	12.3
V2010	31 October 2010 0300 UTC	31 October 2010 0600 UTC	40	4.3	480	12.1

Convective available potential energy (CAPE), Lifted Index (LI), depth of the stable layer characterised by barrier wind over the NEI plain ( $h_b$ ), meridional component of the wind averaged among the model levels below 2000 m ( $U$ ). The most unstable parcel was used in the computation.

Table 3. Summary of parameters computed for the triggering phase for the three upstream events.

Upstream event	Analysed time	Initiation of intense precipitation	CAPE ( $\text{J kg}^{-1}$ )	Lifted index (K)	LFC (m)	$h_b$ (m)	$h_b/\text{LFC}$	$h_m/\text{LFC}$	$U$ ( $\text{m s}^{-1}$ )	DCAPE ( $\text{J kg}^{-1}$ )	$\text{CAPE}^{1/2}/U$	$\text{DCAPE}^{1/2}/U$	$N \text{ LFC}/U$
IOP18	31 October 2012 2000 UTC	31 October 2012 2200 UTC	190	0.5	340	780	2.3	5.9	22.8	120	0.6	0.5	0.15
M2007	26 September 2007 0300 UTC	26 September 2007 0500 UTC	1190	-3.9	480	480	1.0	4.2	7.4	680	4.7	3.5	0.52
M2009	16 September 2009 0700 UTC	16 September 2009 1000 UTC	2060	-5.3	480	600	1.3	4.2	12.4	450	3.7	1.7	0.33

Convective available potential energy (CAPE), Lifted Index (LI), depth of the stable layer characterised by barrier wind over the NEI plain ( $h_b$ ), ratio between  $h_b$  and LFC, ratio between ridge height ( $h_m = 2000$  m) and LFC, meridional component of the wind averaged among the model levels below 2000 m ( $U$ ), downdraught convective available potential energy (DCAPE),  $\text{CAPE}^{1/2}/U$ ,  $\text{DCAPE}^{1/2}/U$ ,  $N \text{ LFC}/U$ . The most unstable parcel was used in the computation.

close to unity. However, during IOP18, convection is triggered but does not develop into deep convection. Instead, for the M2007 and M2009 events, high values of CAPE indicate favourable conditions for vigorous convective vertical motions and heavy rainfall.

Another clear indication concerning the type of event can be obtained by considering the buoyancy of air parcels displaced vertically from multiple levels (Davolio *et al.*, 2009a; Buzzi *et al.*, 2014). At each model level, the virtual temperature of an air parcel adiabatically raised by a given displacement is computed and compared with the virtual temperature of the environment at the new altitude. Since early stages of convection are analysed, computation assumes the loading of condensed water (reversible uplift), that the occurrence of precipitation is negligible, and that there is entropy conservation of a mixture of air/vapour/cloud water. The virtual temperature difference between the parcel and the environment is used to calculate the buoyancy as follows:

$$B = g \frac{T_v^{\text{par}} - T_v^{\text{env}}}{T_v^{\text{env}}}$$

Buoyancy is computed as a function of the height of the parcel, thus providing a buoyancy profile relative to more parcels. Figure 10 shows the results for a vertical lift of 500 m. Similar results hold for slightly different vertical displacements (consistent with the height of the leading edge of the barrier-wind cold layer), small enough to assume that condensed water is still retained by the lifted parcel. The Upstream convective cases are characterised by positive buoyancy, and thus instability to small vertical displacements in the lowest atmospheric layer of depth roughly 1000 m. The vertical profile of buoyancy for IOP18 is characterised by larger values close to the ground, progressively decreasing aloft. This is consistent with thermodynamic profiles close to saturation, unstable in the lower layers and almost neutral above, with moderate CAPE values. Conversely, M2007 and M2009 thermodynamic profiles (Figure 9(b)) indicate increasing relative humidity with height in the first 1500 m, characterised by a lapse rate close to the dry adiabatic. Thus buoyancy shows that the most unstable parcels are located at around 500 m above the surface and not near the ground. Conversely, buoyancy presents negative values for the Alpine events, indicating overall stable conditions. The buoyancy profile for IOP19 is slightly different between 1000 and 2000 m, but still representative of stability to vertical displacements.

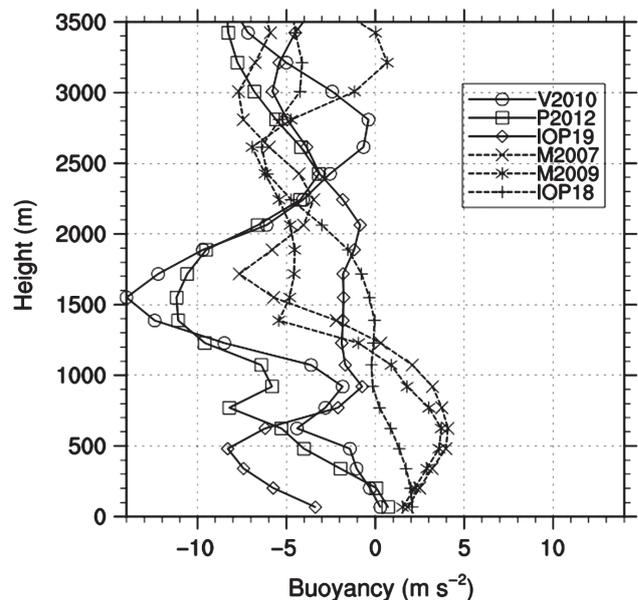


Figure 10. Profiles of buoyancy for air parcels raised to a height of 500 m above their initial position (vertical axis). Profiles are computed for the initial stage of the events, before intense precipitation occurrence. Dashed (solid) lines are for the Upstream (Alpine) events. Values on the x-axis are multiplied by  $10^2$ .

In summary, the thermodynamic profile of the impinging southerly flow, which is forced to rise over the cold stable layer located over the plain upstream of the orography, determines the different behaviour observed in the two classes of event.  $h_b/\text{LFC}$  reveals the possible triggering of convection during the first phase of the events, in case of conditional instability. Also LI turns out to be important because it indicates a necessary condition for potential instability in order to realise vigorous convective activity. Once convection is initiated, its intensity is related to CAPE.

#### 4.4. Precipitation phase: Alpine events

As previously described, if convection is not triggered in correspondence with convergence and uplift, the southeasterly flow is able to progressively penetrate towards the Alps, replacing

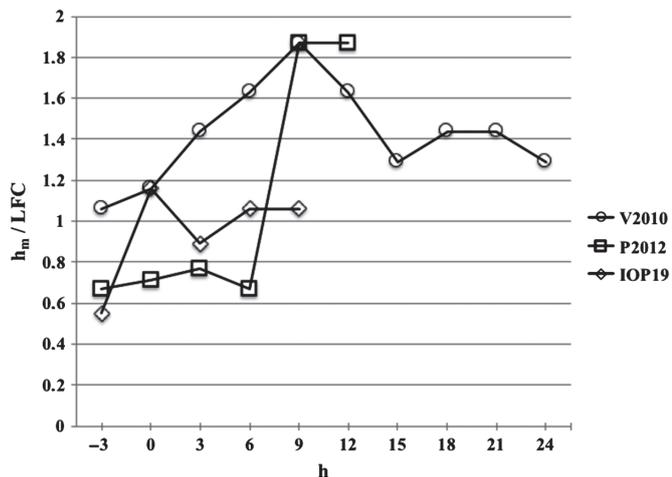


Figure 11. Evolution of  $h_m/LFC$  for the three Alpine events. Hours  $h$  on x-axis are relative to the initiation of intense precipitation.

the pre-existing cold air ahead of the orography. Consistently, a gradual transition from blocked-flow to flow-over conditions is observed. However, model simulations (see for example the cross-section in Figure 8(c)), as well as rainfall and remote-sensing observations, reveal that convective activity can be embedded in the orographic precipitation, at least in the most intense phase of the events. This is a quite typical characteristic of heavy-precipitation events over the northeastern Alps, usually referred to as flux precipitation (Manzato, 2007), that deserves further investigation.

As presented in section 4.2 for the Alpine episodes, variation of  $Fr$  describes the transition to more pronounced flow-over conditions during the event. Moreover, an analysis of the thermodynamic vertical profiles upstream of the orography reveals that the impinging flow becomes progressively more unstable, and during the precipitation phase slightly unstable conditions are attained. Therefore, the parameter  $h_m/LFC$  becomes suitable to explain the possible occurrence of convective activity. In Figure 11, the evolution in time of  $h_m/LFC$  is plotted for the Alpine events. Values of  $h_m/LFC$  increase progressively, becoming close to or larger than unity in correspondence to the initiation of intense precipitation. This confirms that the uplift forced by the Alps is able to trigger convective activity, since the air parcels are forced to rise above the level of free convection. Moreover, low CAPE values are consistent with the presence of weak to moderate convection, acting to locally enhance rainfall rates. V2010, besides being the longest lasting event, is also characterised by a more pronounced potential for convection development (Figure 11). It is worth noting that rainfall enhancement over the windward slopes of the orography can be also due to small-scale turbulence and microphysical processes (i.e. seeder–feeder mechanism), as described for the western Alps for unblocked low-level flow (Rotunno and Houze, 2007).

#### 4.5. Precipitation phase and the role of the cold pool in the Upstream events

When convection initiates resulting from uplift over the cold layer, the southerly low-level moist flow is intercepted by the convergence line and hardly reaches the Alps. The convergence line persists almost in the same position for several hours. Thus, although the direct orographic forcing is not the main lifting mechanism, the Alps are responsible for the persistent blocked-flow conditions, as displayed by the  $Fr$  analysis in section 4.2. This can account for the stationarity of the convective systems. However, the formation of evaporative cold pools is crucial in determining organisation and propagation properties of convective systems (Emanuel, 1994). In the present cases, cold-air layer formation precedes the convection onset; however,

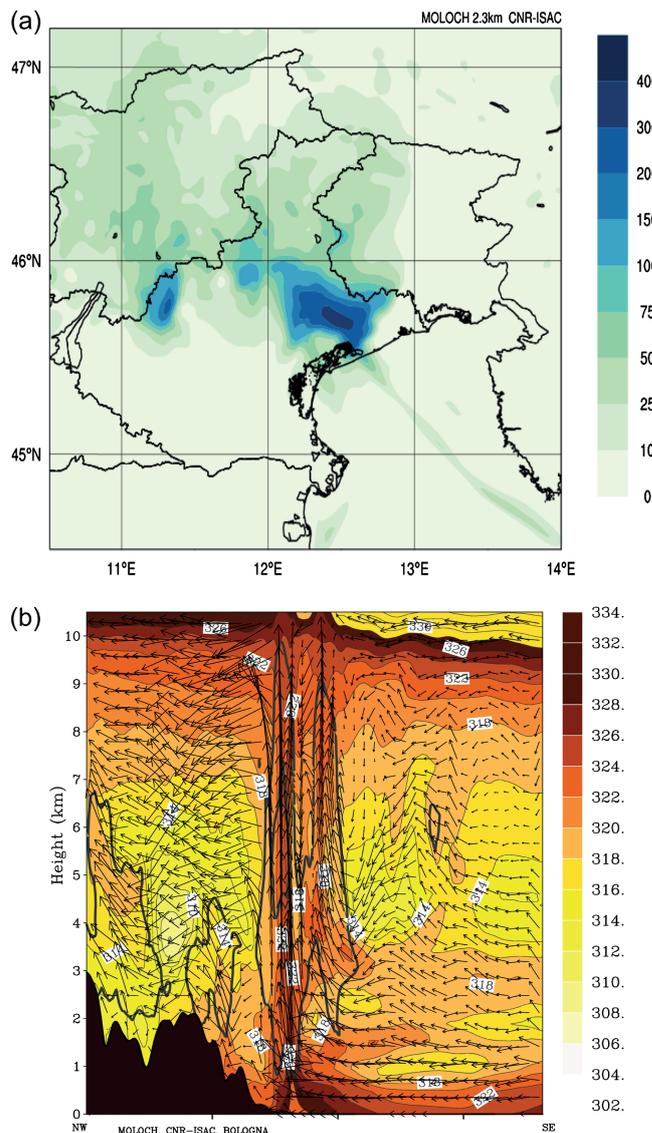


Figure 12. Results of MOLOCH simulations performed removing the contribution of evaporation/sublimation of the precipitation (see text). Marghera event (cross-section length 363 km): (a) 12 h accumulated precipitation at 1200 UTC, 26 September 2007 and (b) vertical cross-sections along the black line of Figure 7 showing equivalent potential temperature (thin contour line and shading, interval 2 K), tangent wind component (vectors) and cloud water and ice (thick contour indicating  $0.1 \text{ g kg}^{-1}$ ) on 26 September 2007 at 1200 UTC.

once convection has developed, cold pool can be reinforced by evaporative cooling and can thus interact with the upstream flow, forcing the low-level flow up and over its head (Miglietta and Rotunno, 2009; Bresson *et al.*, 2012). In order to explore this aspect, additional MOLOCH simulations were performed for the three Upstream events. These experiments were similar to their respective control runs, except that the contribution to the temperature tendency due to evaporation or sublimation of precipitation was removed in the microphysics parametrization scheme.

Figure 12 presents the results for M2007. The accumulated rainfall (Figure 12(a)) indicates that, in this simulation, the convective system persists over the plain of the Veneto region, just inland of the Adriatic coast. With respect to the control simulation (Figure 4(e)), the precipitation maximum is shifted about 20 km to the northeast and displays a different orientation, although the intensity does not differ markedly ( $370 \text{ mm}/12 \text{ h}$ ). The lack of the precipitation band over the sea, parallel to the coast, reveals the main difference between the two simulations. The triggering phase is very similar, but during the mature stage the evaporative cooling actually reinforces the cold pool. Thus, in the control simulation (Figure 8(d)) the convective system slightly propagates against the low-level environmental flow blowing from

the Adriatic Sea, as can be seen comparing Figure 8(b) and (d). Conversely, without the cooling effect of the evaporation beneath the convective system, the low-level atmosphere upstream of the Alps is warmer and the signature of the cold pool much less evident (Figure 12(b)). The convergence line, the convective updraught and hence the precipitation system remain over land, north of the coastline but still upstream of the orography.

It is worth mentioning that additional experiments were performed in order to evaluate the respective impact on the cooling of evaporation and sublimation of the precipitation. It turned out that the main contribution comes from rainfall evaporation, since the sublimation of ice microphysical hydrometeors does not change the results significantly, with respect to the control simulations.

Similar results were obtained for the other two events. Therefore, such experiments indicate that although evaporation/sublimation of precipitation is able to influence to some extent propagation and hence position of convection, it does not determine the stationarity of the systems. Upstream convergence due to persistent blocked-flow conditions is the primary mechanism producing that stationarity.

Following Miglietta and Rotunno (2009), the convective system is triggered and continuously regenerated as expected when  $h_m/LFC$  is larger than one (Table 3). Moreover, their idealised simulations of conditionally unstable flow past a mountain ridge showed that stationary convection occurs when the advective time-scale  $\tau_a$  is longer than the convective time-scale  $\tau_c$ . Although the applicability of theoretical results is not always straightforward for real events (Miglietta and Rotunno, 2012; Bresson *et al.*, 2012), an estimation of the proposed non-dimensional parameters is presented in the following. Table 3 lists the relevant parameters, evaluated for upstream conditions: downdraught convective available potential energy (DCAPE),  $CAPE^{1/2}/U$ , which is almost proportional to  $\tau_a/\tau_c$ ,  $DCAPE^{1/2}/U$ , representing a measure of cold-pool propagation, and  $N LFC/U$ , which evaluates the impact of cold pool propagation on the upstream flow.

The results for M2007 and M2009 are very similar and the location of the precipitation seems to be correlated with the theoretical results. Moderate wind speed and large instability (large values of  $CAPE^{1/2}/U$ ) are consistent with a stationary convective system upstream of the mountain ridge, since there is enough time for evaporative reinforcement of the cold pool and redevelopment of convective cells over the same area ( $\tau_a > \tau_c$ ). Moreover, the intensity of downdraught indicates the possibility to have a slight propagation of the cold pool against the low-level environmental flow for M2007, as actually observed. For IOP18 the comparison is even more difficult, since it is a low-CAPE case with very strong wind. Although parameters suggest the triggering of convection, it seems that intense wind speed does not create favourable conditions for long-lasting stationary convection, as instead occurs during M2007 and M2009. It can be argued that in this case other mechanisms could have contributed to the organisation of the convective system. In particular, cyclonic mesoscale forcing could have played a role during the first part of the precipitation phase by advecting convective cells from the Adriatic Sea towards the convergence line and later on favouring the deflection of the low-level flow. The strong vertical shear characterised by a variation of the along-ridge component of the flow could have also affected the convective system evolution (Miglietta and Rotunno, 2014).

## 5. Conclusions

The present study was motivated by observations made during the HyMeX-SOP1 campaign, which documented two heavy-precipitation episodes (IOP18 and IOP19) characterised by similar synoptic situations and initial mesoscale conditions of blocked-flow impinging on the Alpine barrier but with a completely different dynamical evolution, leading to different precipitation patterns. During IOP18, blocked-flow conditions

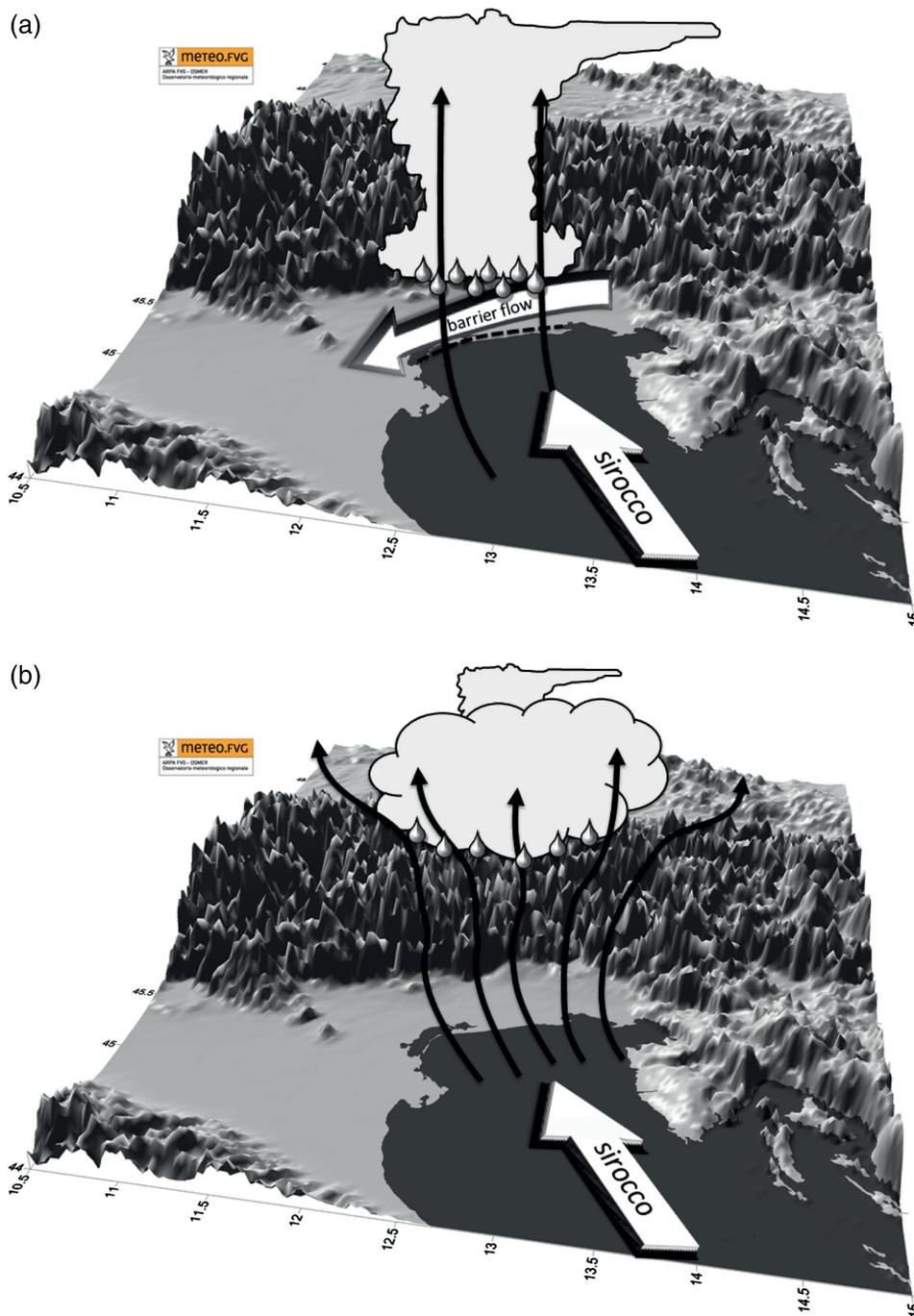
persisted and the low-level southeasterly flow came from the Adriatic Sea and was then deflected in front of the orography as a northeasterly barrier wind, creating a convergence line upstream of the orography, where convection was triggered. Heavy precipitation affected the plain area of NEI well upstream of the orography. Conversely, during IOP19 the southeasterly flow was blocked by the Alps only in the initial phase, but then progressively penetrated inland reaching the Alpine ridge, consistent with flow-over conditions. This situation produced intense rainfall over the orography. This evidence prompted a survey and an analysis of other similar cases, in order to identify common mechanisms leading to two different precipitation patterns over NEI.

Notwithstanding the peculiar features and the complexity of each analysed event, the present study, based on high-resolution NWP model simulations validated and supported by observations, shows clearly that the two different rainfall patterns belong to two different 'regimes' of precipitation event that often affect the NEI area, as summarised in the schematic diagram of Figure 13. For all the events, the low-level southeasterly flow (sirocco wind) is initially blocked by the Alps and deflected as an easterly/northeasterly barrier wind over the NEI plain. The presence of pre-existing cold air over the NEI plain, resulting from nocturnal radiative cooling, enhances the low-level blocking. As the synoptic disturbance progresses, the low-level wind intensifies and, depending on the thermodynamic vertical profile of the impinging flow, convective activity may be triggered in the initial phase. In the Upstream events (Figure 13(a)), convection initiates well upstream of the orography where the incoming flow is forced to rise over the cold layer characterised by the barrier wind. Blocked-flow conditions persist and the convergence line between the sirocco wind and the barrier wind triggers further convection. The cold pool is even reinforced by evaporative cooling of the convective precipitation, but specific model simulations have proved that the stationarity of the convective system is determined by the persistent blocked-flow conditions by the Alps, confirmed by low  $Fr$  values. The low-level flow from the Adriatic Sea is intercepted and thus it feeds the convection and does not reach the orography. Therefore, precipitation affects the NEI plain or even the coastal area, far upstream from the Alps.

In the Alpine events (Figure 13(b)) convection does not develop as a result of the forced uplift over the cold air. Flow-over conditions progressively become established and the low-level flow coming from the Adriatic Sea reaches the Alps, while the cold air ahead of the orography is removed. This is also supported by progressively increasing values of  $Fr$ . The barrier wind disappears and the orographically forced uplift of the impinging flow produces intense precipitation over the Alps.

Therefore, rainfall location turns out to be the consequence of different dynamical behaviour of the flow impinging on the orography, and its thermodynamic characteristics are critical for the triggering of convective activity due to uplift over the barrier wind cold layer. If the profile is unstable and the LFC is located at low altitude, this uplift is strong enough to trigger convection over the plain upstream. Indices such as LI, CAPE, Buoyancy and  $h_b/LFC$  proved able to take into account the mechanisms responsible for the different phenomena observed during the triggering phase.

The results obtained for the convective events are in agreement with theoretical studies of conditionally unstable flow over orography. A value of  $h_m/LFC$  much greater than unity indicates occurrence of convection upstream of the orography and the other parameters indicate an environment favourable for cold-pool development and triggering of convective cells upstream of the mountains. As observed during the M2007 and M2009 events, stationary deep convection develops and persists in the same area since the convective cold-pool propagation is nearly balanced by the environmental inflow. Only IOP18 does not fit completely with the theoretical results. Low-CAPE values and strong wind speed make this case quite particular and it is difficult to refer it



**Figure 13.** Schematic diagram of the key mechanisms responsible for the two different precipitation patterns over NEL. (a) Upstream event: blocked low-level flow, barrier wind, convergence and deep convection development occurring over the plain, upstream of the orography. (b) Alpine event: unblocked low-level flow, flow-over conditions, orographic lifting and precipitation over the Alps with possible embedded convection.

to idealised simulations proposed in the literature. However, for all the three Upstream events the evaporative cooling beneath the precipitation system plays only a secondary role in determining the characteristics of the convective system. Indeed, stationarity of the convergence line and of the convection upstream of the Alps is mainly ascribable to the persistence of blocked-flow conditions. For these events, convective outflow can only slightly modify rainfall position and intensity.

Finally,  $h_m/LFC$  is also computed for the Alpine events during the intense precipitation phase, when the thermodynamic profile of the impinging flow becomes unstable. Here,  $h_m/LFC$  confirms the possible development of convection, embedded in the orographic stratiform precipitation, responsible for locally enhancing the rain rates.

Several other aspects will be explored in future studies. The role of neighbouring mountain ranges (Apennines and Dinaric Alps) will be investigated as well as the role of intense air–sea interactions on water vapour budget and

boundary-layer characteristics. Moreover, the analysis of additional heavy-precipitation events will possibly provide a more robust statistical support for these results.

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